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# (54) SYNTHESIS OF CYCLIC CARBONATES

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# (57) ABSTRACT

A dimeric aluminum(salen) catalyst of formula I:

wherein: (a) at least one R group is selected from L-A, and/or (b) at least one of X group is a divalent C3-7 heterocyclene group, containing a ring atom which is a quaternary nitrogen atom paired with a counterion selected from Cl, Br and I; and/or (c) at least one X group is a C2-5 alkylene chain or a C1-3 bisoxyalkylene chain, substituted by a group -Q-L-A; and/or

(ii) (a) one R group is L-A', or (b) one X group is a divalent C3-7 heterocyclene group, containing a ring atom which is a quaternary nitrogen forming part of an ammonium linking group bound to a solid support and paired with a counterion selected from Cl, Br and I; or (c) one X group is a C2-5 alkylene chain or a C1-3 bisoxyalkylene chain, substituted by a group -Q-L-A'.

#### 15 Claims, 1 Drawing Sheet

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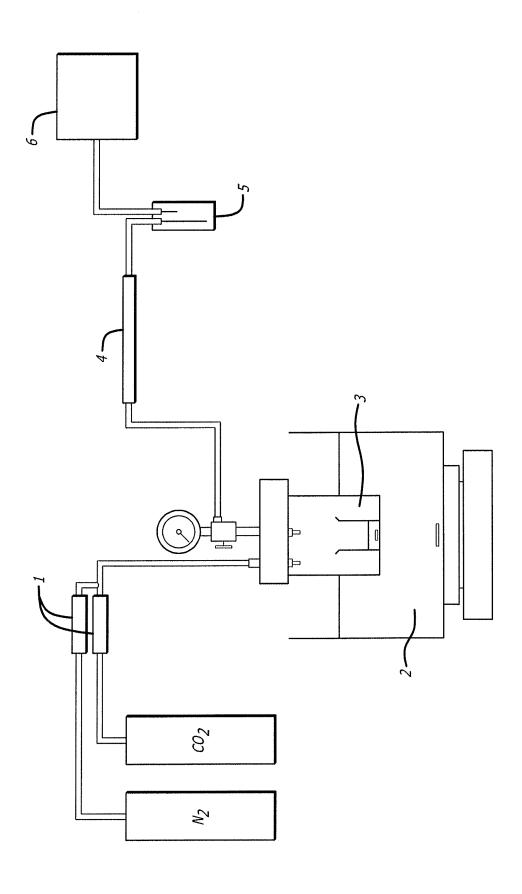
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1

# SYNTHESIS OF CYCLIC CARBONATES

# CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 12/921,264, Filed Sep. 7, 2010, which claims priority to International Application No. PCT/GB2009/000624, filed on Mar. 6, 2009, which claims priority to (1) Great Britain Patent Application No. 0804345.7, filed Mar. 7, 2008, and (2) Great Britain Patent Application No. 0821092.4, filed Nov. 18, 2008, each of which is incorporated by reference in its entirety.

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#### FIELD OF THE DISCLOSURE

This disclosure relates to a process for synthesising cyclic carbonates from epoxides and carbon dioxide using aluminium(salen) complexes as catalysts. The disclosure also provides novel aluminium(salen) complexes, and their synthesis. <sup>30</sup>

# BACKGROUND

Cyclic carbonates are commercially important products currently manufactured on a multi-tonne scale for use as polar 35 aprotic solvents, additives, antifoam agents for anti-freeze, plasticisers, and monomers for polymer synthesis (see Darensbourg, et al., *Coord. Chem. Rev.*, 153 (1996), 155-174; Coates, et al., *Angew. Chem. Int. Ed.*, 43 (2004), 6618-6639).

The synthesis of cyclic carbonates generally involves the 40 reaction of epoxides with carbon dioxide, and hence could be used to sequestrate carbon dioxide, thus reducing the level of greenhouse gases in the atmosphere.

Catalysts for the synthesis of cyclic carbonates from epoxides and carbon dioxide are known in the art (see Darensbourg, et al., *Coord. Chem. Rev.*, 153 (1996), 155-174; Yoshida, et al., *Chem. Eur. J*, 10 (2004), 2886-2893; Sun, et al., *J. Organomet. Chem.*, 690 (2005), 3490-3497) although these require elevated reaction temperatures and/or high pressures of carbon dioxide, the reaction often being conducted in supercritical carbon dioxide (see Lu, et al., *App. Cat. A*, 234 (2002), 25-33).

Ratzenhofer, et al., (Angew. Chemie Int. Ed. Engl., 19 (1980), 317-318) succeeded in carrying out the reaction between 2-methyloxirane and carbon dioxide at room temperature and atmospheric pressure using catalysts consisting of a mixture of a metal halide and a Lewis base. However, a long reaction time of 7 days was required. Kisch, et al., (Chem. Ber., 119 (1986), 1090-1094), carrying out the same reaction under the same conditions and also using catalysts of 60 this type, reports a reaction time of 3.5 to 93 hours using up to 4 mol % of a ZnCl<sub>2</sub> catalyst and up to 16 mol % of a (nButyl)<sub>4</sub>NI catalyst.

Lu, et al., (*J. Mol. Cat. A*, 210 (2004), 31-34; *J. Cat.*, 227 (2004), 537-541) describe the use of tetradentate Schiff-base 65 aluminium complexes in conjunction with a quaternary ammonium salt or polyether-KY complexes as catalyst sys-

2

tems for the reaction of various epoxides with carbon dioxide at room temperature and about 6 atmospheres.

Metal(salen) complexes, including aluminium(salen) complexes, are well-known in the art for their use as catalysts. Lu, et al., *App. Cat. A*, 234 (2002), 25-33, describes the use of a monomeric aluminium(salen) catalyst.

Also known in the art is the method of synthesising aluminium(salen) catalysts by treating a salen ligand with  $Me_3Al$ ,  $Et_3Al$ ,  $Me_2AlCl$ ,  $Me_2AlCTf$ ,  $Et_2AlBr$  or  $Et_2AlCl$  in a two-stage process (reviewed in Atwood and Harvey, *Chem. Rev.*, 2001, 101, 37-52).

The present inventor has previously found that, in the presence of a tetraalkylammonium halide cocatalyst, dimeric aluminium(salen) complexes are highly active catalysts for the reaction of epoxides with carbon dioxide to produce cyclic carbonates, and allow the reaction to be carried out at room temperature and atmospheric pressure, using short reaction times and commercially viable amounts of catalyst, as described in Melendez, J., et al., *Eur J. Inorg Chem*, 2007, 3323-3326 and co-pending UK patent application No. 0708016.1, filed 25 Apr. 2007, now published as WO 2008/132474.

#### **SUMMARY**

Briefly stated, the disclosed embodiments illustrate a process that incorporates the co-catalyst required in this work into the catalyst molecule, so as to reduce or eliminate the amount of separate components needed. Furthermore, the disclosed embodiments illustrate a process that immobilises the combined catalyst and co-catalyst on a solid support.

Accordingly, a first aspect of a disclosed embodiment provides a dimeric aluminium(salen) catalyst of formula I:

wherein:

Y-Q is  $CR^{C1}$ —N or  $CR^{C1}R^{C2}$ —NR $^{N1}$ , where  $R^{C1}$ ,  $R^{C2}$  and  $R^{N1}$  are independently selected from H, halo, optionally substituted  $C_{1-20}$  alkyl, optionally substituted  $C_{5-20}$  aryl, ether and nitro;

each of the substituents  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$ , is independently selected from H, halo, optionally substituted  $C_{1\text{-}20}$  alkyl (including CAr<sub>3</sub>, where Ar is a  $C_{5\text{-}20}$  aryl group), optionally substituted  $C_{5\text{-}20}$  aryl, optionally substituted  $C_{3\text{-}20}$  heterocyclyl, ether and nitro;

 $\rm X^1$  and  $\rm X^2$  are independently either (i) a  $\rm C_{2-5}$  alkylene chain, which is optionally substituted by one or more groups selected from  $\rm C_{1-4}$  alkyl and  $\rm C_{5-7}$  aryl, or a  $\rm C_{1-3}$  bisoxyalkylene chain, which is optionally substituted by one or more groups selected from  $\rm C_{1-4}$  alkyl and  $\rm C_{5-7}$  aryl or (ii) represent a divalent group selected from  $\rm C_{5-7}$  arylene,  $\rm C_{5-7}$  cyclic alkylene and  $\rm C_{3-7}$  heterocyclylene, which may be optionally substituted:

(i) (a) at least one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, and R<sup>16</sup> is selected from L-A, where L is a single bond or a C<sub>1-10</sub> alkylene group and A is an ammonium group paired with a counterion selected from Cl, Br and I; and/or

(b) at least one of  $X^1$  and  $X^2$  is a divalent  $C_{3-7}$  heterocyclene group, containing a ring atom which is a quaternary nitrogen atom paired with a counterion selected from Cl, Br and I; and/or

(c) at least one of  $X^1$  and  $X^2$  is a  $C_{2-5}$  alkylene chain or a  $C_{1-3}$  bisoxyalkylene chain, substituted by a group -Q-L-A, where Q is either —C(=O)—NH—, or a single bond; and/or

(ii) (a) one of  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$ ,  $^{25}$   $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$  is L-A', where L is as defined above and A' is a ammonium linking group bound to a solid support and paired with a counterion selected from Cl, Br and I; or

(b) one of  $X^1$  and  $X^2$  is a divalent  $C_{3-7}$  heterocyclene group,  $^{30}$  containing a ring atom which is a quaternary nitrogen forming part of an ammonium linking group bound to a solid support and paired with a counterion selected from Cl, Br and I: or

(c) one of  $X^1$  and  $X^2$  is a  $C_{2-5}$  alkylene chain or a  $C_{1-3}$  bisoxyalkylene chain, substituted by a group -Q-L-A'.

Thus, when the catalyst is covalently bound to a solid support, only one linking group to the solid support is present.  $_{40}$  However, one or more ammonium groups/quaternary nitrogen atoms may be present.

The catalysts of formula I where: (a) at least one of  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$  is selected from L-A; and/or (b) at least one of  $X^1$  and  $X^2$  is a divalent  $C_{3-7}$  heterocyclene group, containing a ring atom which is a quaternary nitrogen atom paired with a counterion selected from Cl, Br and I; and/or

(c) at least one of  $X^1$  and  $X^2$  is a  $C_{2-5}$  alkylene chain or a  $C_{1-3}$  bisoxyalkylene chain, substituted by a group -Q-L-A, where Q is either —C( $\Longrightarrow$ O)— or a single bond, may be immobilized on a solid support, either by the use of steric effects or by electrostatic binding.

If the catalyst of formula I includes one or more chiral centres, then it may be a (wholly or partially) racemic mixture or other mixture thereof, for example, a mixture enriched in one enantiomer or diastereoisomer, a single enantiomer or diastereoisomer, or a mixture of the stereoisomers. Methods for the preparation (e.g., asymmetric synthesis) and separation (e.g., fractional crystallisation and chromatographic means) of such isomeric forms are either known in the art or are readily obtained by adapting the methods taught herein, or known methods, in a known manner. Preferably the catalyst of formula I is a single enantiomer, if a chiral centre is present.

4

The dimeric aluminium(salen) catalysts of the first aspect may be of formula Ia:

where R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and X<sup>1</sup> are as defined above; and

(a) at least one of  $R^1$ ,  $R^2$ ,  $R^3$  and  $R^4$  is selected from L-A, where L is a single bond or a  $C_{1-10}$  alkylene group and A is an ammonium group paired with a counterion selected from Cl, Br and I; and/or

(b)  $X^1$  is a divalent  $C_{3-7}$  heterocyclene group, containing a ring atom which is a quaternary nitrogen atom paired with a counterion selected from Cl, Br and I; or

(c)  $X^1$  is a  $C_{2-5}$  alkylene chain or a  $C_{1-3}$  bisoxyalkylene chain, substituted by a group -Q-L-A, where Q is either —C(=O)— or a single bond.

A second aspect of a disclosed embodiment provides a process for the production of cyclic carbonates comprising contacting an epoxide with carbon dioxide in the presence of a dimeric aluminium(salen) catalyst according to the first aspect of a disclosed embodiment.

The reaction of the second aspect may be defined as follows:

$$\begin{array}{c} O \\ R^{C3} \end{array} \begin{array}{c} O \\ R^{C4} \end{array} + \begin{array}{c} O \\ C0_2 \end{array} \begin{array}{c} O \\ R^{C3} \end{array} \begin{array}{c} O \\ R^{C4} \end{array}$$

wherein  $R^{C3}$  and  $R^{C4}$  are independently selected from H, optionally substituted  $C_{1\text{-}10}$  alkyl, optionally substituted  $C_{3\text{-}20}$  heterocyclyl and optionally substituted  $C_{5\text{-}20}$  aryl, or  $R^{C3}$  and  $R^{C4}$  form an optionally substituted linking group between the two carbon atoms to which they are respectively attached. The linking group, together with the carbon atoms to which it is attached, may form an optionally substituted  $C_{5\text{-}20}$  cycloalkyl or  $C_{5\text{-}20}$  heterocylyl group. The  $C_{5\text{-}20}$  cycloalkyl or  $C_{5\text{-}20}$  heterocylyl group may be substituted only in a single position on the ring, for example, adjacent the

epoxide. Suitable substituents, include optionally substituted  $C_{1\text{-}10}$  alkyl, optionally substituted  $C_{3\text{-}20}$  heterocyclyl and optionally substituted  $C_{5\text{-}20}$  aryl.

A possible substituent for the  $C_{1-10}$  alkyl group is a  $C_{5-20}$  aryl group.

The second aspect of a disclosed embodiment also provides the use of a dimeric aluminium(salen) catalyst of the first aspect of a disclosed embodiment for the production of cyclic carbonates from epoxides.

A third aspect of a disclosed embodiment provides a process for the synthesis of a dimeric aluminium(salen) catalyst of formula I.

# BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 shows a flow reactor for use with the disclosed catalysts.

# DETAILED DESCRIPTION OF THE EMBODIMENTS

Definitions

Epoxide: The term "epoxide", as used herein, may pertain to a compound of the formula:

wherein  $R^{C3}$  and  $R^{C4}$  are independently selected from H, optionally substituted  $C_{1\text{-}10}$  alkyl, optionally substituted  $C_{3\text{-}20}$  heterocyclyl and optionally substituted  $C_{5\text{-}20}$  aryl, or  $R^{C3}$  and  $R^{C4}$  form an optionally substituted linking group between the two carbon atoms to which they are respectively attached. The linking group, together with the carbon atoms to which it is attached, may form an optionally substituted  $C_{5\text{-}20}$  cycloalkyl or  $C_{5\text{-}20}$  heterocylyl group. The  $C_{5\text{-}20}$  cycloalkyl or  $C_{5\text{-}20}$  heterocylyl group may be substituted only in a single position on the ring, for example, adjacent the epoxide. Suitable substituents, include optionally substituted  $C_{1\text{-}10}$  alkyl, optionally substituted  $C_{3\text{-}20}$  heterocyclyl and optionally substituted  $C_{5\text{-}20}$  aryl.

The optional substituents may be selected from:  $C_{1-10}$  alkyl,  $C_{3-20}$  heterocyclyl,  $C_{5-20}$  aryl, halo, hydroxy, ether, cyano, nitro, carboxy, ester, amido, amino, acylamido, ureido, acyloxy, thiol, thioether, sulfoxide, sulfonyl, thioamido and sulfonamino.

In some embodiments, the  $C_{1-10}$  alkyl group is substituted by a  $C_{5-20}$  aryl group.

Preferably, the epoxide is a terminal epoxide, i.e.  $R^{C4}$ —H.

In some embodiments,  $R^{C3}$  is selected from optionally 60 substituted  $C_{1-4}$  alkyl and optionally substituted  $C_{5-7}$  aryl. In some of these embodiments  $R^{C3}$  is unsubstituted.

Preferred epoxides are ethylene oxide ( $R^{C3} = R^{C4} = H$ ), propylene oxide ( $R^{C3} =$ methyl,  $R^{C4} = H$ ) butylene oxide ( $R^{C3} =$ ethyl,  $R^{C4} = H$ ), and styrene oxide ( $R^{C3} =$ phenyl,  $R^{C4} = H$ ).

6

Cyclic carbonate: the term "cyclic carbonate", as used herein, may pertain to a compound of the formula:

wherein  $R^{C3}$  and  $R^{C4}$  are as defined above.

Solid support: Catalysts of a disclosed embodiment may be immobilized on a solid support by:

- (a) covalent binding (those where one of  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$  is selected from L-A');
- (b) steric trapping; or
- (c) electrostatic binding.

These various methods are reviewed by Carlos Baleizão and Hermenegildo Garcia in "Chiral Salen Complexes: An Overview to Recoverable and Reusable Homogeneous and Heterogeneous Catalysts" (*Chem. Rev.* 2006, 106, 3987-4043).

For covalent binding, the solid support needs to contain or be derivatized to contain reactive functionalities which can serve for covalently linking a compound to the surface thereof. Such materials are well known in the art and include, by way of example, silicon dioxide supports containing reactive Si—OH groups, polyacrylamide supports, polystyrene supports, polyethyleneglycol supports, and the like. A further example is sol-gel materials. Silica can be modified to include a 3-chloropropyloxy group by treatment with (3-chloropropyl)triethoxysilane. Another example is Al pillared clay, which can also be modified to include a 3-chloropropyloxy group by treatment with (3-chloropropyl)triethoxysilane. Such supports will preferably take the form of small beads, pins/crowns, laminar surfaces, pellets or disks. They may also take the form of powders. Solid supports for covalent binding of particular interest in a disclosed embodiment include siliceous MCM-41 and MCM-48 (modified with 3-aminopropyl groups), ITQ-2 and amorphous silica, SBA-15 and hexagonal mesoporous silica. Also of particular interest are sol-gels. Other conventional forms may also be used.

For steric trapping, the most suitable class of solid support is zeolites, which may be natural or modified. The pore size must be sufficiently small to trap the catalyst but sufficiently large to allow the passage of reactants and products to and from the catalyst. Suitable zeolites include zeolites X, Y and EMT as well as those which have been partially degraded to provide mesopores, that allow easier transport of reactants and products.

For the electrostatic binding of the catalyst to a solid sup-55 port, typical solid supports may include silica, Indian clay, Al-pillared clay, Al-MCM-41, K10, laponite, bentonite, and zinc-aluminum layered double hydroxide. Of these silica and montmorillonite clay are of particular interest.

Alkyl: The term "alkyl", as used herein, pertains to a monovalent moiety obtained by removing a hydrogen atom from a carbon atom of a hydrocarbon having from 1 to 20 carbon atoms (unless otherwise specified), which may be aliphatic or alicyclic and which may be saturated or unsaturated (e.g. partially saturated, fully unsaturated). Thus, the term "alkyl" includes the sub-classes alkenyl, alkynyl, cycloalkyl, cycloalkenyl, cycloalkynyl, etc., as discussed below.

Alkylene: The term "alkylene", as used herein, pertains to a divalent moiety obtained by removing two hydrogen atoms from one or two carbon atoms of a hydrocarbon having from 1 to 20 carbon atoms (unless otherwise specified), which may be aliphatic or alicyclic and which may be saturated or unsaturated (e.g. partially saturated, fully unsaturated). Thus, the term "alkylene" includes the sub-classes alkenylene, alkynylene, cycloalkylene, cycloalkynylene, etc., as discussed below.

In the context of alkyl and alkylene groups, the prefixes 10 (e.g.  $C_{1-4}$ ,  $C_{1-7}$ ,  $C_{1-20}$ ,  $C_{2-7}$ ,  $C_{3-7}$ , etc.) denote the number of carbon atoms, or the range of number of carbon atoms. For example, the term " $C_{1-4}$  alkyl", as used herein, pertains to an alkyl group having from 1 to 4 carbon atoms. Examples of groups of alkyl groups include  $C_{1-4}$  alkyl ("lower alkyl"),  $C_{1-7}$  15 alkyl and  $C_{1-20}$  alkyl. Note that the first prefix may vary according to other limitations; for example, for unsaturated alkyl groups, the first prefix must be at least 2; for cyclic alkyl groups, the first prefix must be at least 3; etc. For example, the term " $C_{1-7}$  alkylene", as used herein, pertains to an alkylene 20 group having from 1 to 7 carbon atoms.

Examples of (unsubstituted) saturated alkyl groups include, but are not limited to, methyl  $(C_1)$ , ethyl  $(C_2)$ , propyl  $(C_3)$ , butyl  $(C_4)$ , pentyl  $(C_5)$ , hexyl  $(C_6)$ , and heptyl  $(C_7)$ .

Examples of (unsubstituted) saturated linear alkyl groups 25 include, but are not limited to, methyl  $(C_1)$ , ethyl  $(C_2)$ , n-propyl  $(C_3)$ , n-butyl  $(C_4)$ , n-pentyl  $(amyl)(C_5)$ , n-hexyl  $(C_6)$ , and n-heptyl  $(C_7)$ .

Examples of (unsubstituted) saturated branched alkyl groups include iso-propyl  $(C_3)$ , iso-butyl  $(C_4)$ , sec-butyl  $(C_4)$ , iso-pentyl  $(C_5)$ , and neo-pentyl  $(C_5)$ .

Examples of (unsubstituted) saturated alkylene groups include, but are not limited to, methylene  $(C_1)$ , ethylene  $(C_2)$ , propylene  $(C_3)$ , butylene  $(C_4)$ , pentylene  $(C_5)$ , hexylene  $(C_6)$ , and heptylene  $(C_7)$ .

Examples of (unsubstituted) saturated linear alkylene groups include, but are not limited to, methylene  $(C_1)$ , ethylene  $(C_2)$ , n-propylene  $(C_3)$ , n-butylene  $(C_4)$ , n-pentylene (amylene)  $(C_5)$ , n-hexylene  $(C_6)$ , and n-heptylene  $(C_7)$ .

Examples of (unsubstituted) saturated branched alkyl 40 groups include iso-propylene  $(C_3)$ , iso-butylene  $(C_4)$ , secbutylene  $(C_4)$ , tert-butylene  $(C_4)$ , iso-pentylene  $(C_5)$ , and neo-pentylene  $(C_5)$ .

Alkenyl: The term "alkenyl", as used herein, pertains to an alkyl group having one or more carbon-carbon double bonds. 45 Examples of groups of alkenyl groups include  $C_{2-4}$  alkenyl,  $C_{2-7}$  alkenyl,  $C_{2-20}$  alkenyl.

Examples of (unsubstituted) unsaturated alkenyl groups include, but are not limited to, ethenyl (vinyl, —CH—CH<sub>2</sub>), 1-propenyl (—CH—CH—CH<sub>3</sub>), 2-propenyl (allyl, —CH<sub>2</sub>—CH—CH<sub>2</sub>), isopropenyl (1-methylvinyl, —C(CH<sub>3</sub>)—CH<sub>2</sub>), butenyl (C<sub>4</sub>), pentenyl (C<sub>5</sub>), and hexenyl (C<sub>6</sub>).

Alkenylene: The term "alkenylene", as used herein, pertains to an alkylene group having one or more carbon-carbon double bonds. Examples of groups of alkenylene groups 55 include  $C_{2-4}$  alkenylene,  $C_{2-7}$  alkenylene,  $C_{2-20}$  alkenylene.

Alkynyl: The term "alkynyl", as used herein, pertains to an alkyl group having one or more carbon-carbon triple bonds. Examples of groups of alkynyl groups include  $C_{2-4}$  alkynyl,  $C_{2-7}$  alkynyl,  $C_{2-20}$  alkynyl.

Examples of (unsubstituted) unsaturated alkynyl groups include, but are not limited to, ethynyl (ethinyl, —C=CH) and 2-propynyl (propargyl, —CH<sub>2</sub>—C=CH).

Alkynylene: The term "alkynyl", as used herein, pertains to an alkylene group having one or more carbon-carbon triple 65 bonds. Examples of groups of alkynylene groups include  $C_{2-4}$  alkynylene,  $C_{2-7}$  alkynylene,  $C_{2-20}$  alkynylene.

8

Cycloalkyl: The term "cycloalkyl", as used herein, pertains to an alkyl group which is also a cyclyl group; that is, a monovalent moiety obtained by removing a hydrogen atom from an alicyclic ring atom of a carbocyclic ring of a carbocyclic compound, which carbocyclic ring may be saturated or unsaturated (e.g. partially unsaturated, fully unsaturated), which moiety has from 3-20 carbon atoms (unless otherwise specified), including from 3 to 20 ring atoms. Thus, the term "cycloalkyl" includes the sub-classes cycloalkenyl and cycloalkynyl. Preferably, each ring has from 3 to 7 ring atoms. Examples of groups of cycloalkyl groups include  $C_{3-20}$  cycloalkyl,  $C_{3-15}$  cycloalkyl,  $C_{3-10}$  cycloalkyl,  $C_{3-7}$  cycloalkyl

Cycloalkylene: The term "cycloalkylene", as used herein, pertains to an alkylene group which is also a cyclyl group; that is, a divalent moiety obtained by removing two hydrogen atoms from one or two alicyclic ring atoms of a carbocyclic ring of a carbocyclic compound, which carbocyclic ring may be saturated or unsaturated (e.g. partially unsaturated, fully unsaturated), which moiety has from 3-20 carbon atoms (unless otherwise specified), including from 3 to 20 ring atoms. Thus, the term "cycloalkylene" includes the sub-classes cycloalkenylene and cycloalkynylene. Preferably, each ring has from 3 to 7 ring atoms. Examples of groups of cycloalkylene groups include  $\rm C_{3-20}$  cycloalkylene,  $\rm C_{3-15}$  cycloalkylene,  $\rm C_{3-10}$  cycloalkylene,  $\rm C_{3-15}$  cycloalkylene,  $\rm C_{3-10}$  cycloalkylene,  $\rm C_{3-10}$  cycloalkylene,  $\rm C_{3-10}$  cycloalkylene,

Cyclic alkylene: The term "cyclic alkylene" as used herein pertains to a divalent moiety obtained by removing a hydrogen atom from each of two adjacent alicyclic ring atoms of a carbocyclic ring of a carbocyclic compound, which carbocyclic ring may be saturated or unsaturated (e.g. partially saturated, fully unsaturated), which moiety has from 3 to 20 carbon atoms (unless otherwise specified), including from 3 to 20 ring atoms. Preferably each ring has from 5 to 7 ring atoms. Examples of groups of cyclic alkylene groups include  $C_{3-20}$  cyclic alkylenes,  $C_{3-10}$  cyclic alkylenes,  $C_{3-7}$  cyclic alkylenes.

Examples of cycloalkyl groups and cyclic alkylene groups include, but are not limited to, those derived from:

saturated monocyclic hydrocarbon compounds: cyclopropane  $(C_3)$ , cyclobutane  $(C_4)$ , cyclopentane  $(C_5)$ , cyclohexane  $(C_6)$ , cycloheptane  $(C_7)$ , methylcyclopropane  $(C_4)$ , dimethylcyclopropane  $(C_5)$ , methylcyclobutane  $(C_5)$ , dimethylcyclobutane  $(C_6)$ , methylcyclopentane  $(C_6)$ , dimethylcyclopentane  $(C_7)$ , methylcyclohexane  $(C_7)$ , dimethylcyclohexane  $(C_8)$ , menthane  $(C_{10})$ ;

unsaturated monocyclic hydrocarbon compounds: cyclopropene  $(C_3)$ , cyclobutene  $(C_4)$ , cyclopentene  $(C_5)$ , cyclohexene  $(C_6)$ , methylcyclopropene  $(C_4)$ , dimethylcyclopropene  $(C_5)$ , methylcyclobutene  $(C_5)$ , dimethylcyclopentene  $(C_6)$ , methylcyclopentene  $(C_7)$ , dimethylcyclopentene  $(C_7)$ , methylcyclohexene  $(C_8)$ ;

saturated polycyclic hydrocarbon compounds: thujane  $(C_{10})$ , carane  $(C_{10})$ , pinane  $(C_{10})$ , bornane  $(C_{10})$ , norcarane  $(C_7)$ , norpinane  $(C_7)$ , norbornane  $(C_7)$ , adamantane  $(C_{10})$ , decalin (decahydronaphthalene)  $(C_{10})$ ;

unsaturated polycyclic hydrocarbon compounds: camphene ( $C_{10}$ ), limonene ( $C_{10}$ ), pinene ( $C_{10}$ );

polycyclic hydrocarbon compounds having an aromatic 60 ring:

indene (C<sub>9</sub>), indane (e.g., 2,3-dihydro-1H-indene) (C<sub>9</sub>), tetralin (1,2,3,4-tetrahydronaphthalene) (C<sub>10</sub>), acenaphthene (C<sub>12</sub>), fluorene (C<sub>13</sub>), phenalene (C<sub>13</sub>), acephenanthrene (C<sub>15</sub>), aceanthrene (C<sub>16</sub>), cholanthrene (C<sub>20</sub>).

Heterocyclyl: The term "heterocyclyl", as used herein, pertains to a monovalent moiety obtained by removing a hydrogen atom from a ring atom of a heterocyclic compound,

which moiety has from 3 to 20 ring atoms (unless otherwise specified), of which from 1 to 10 are ring heteroatoms. Preferably, each ring has from 3 to 7 ring atoms, of which from 1 to 4 are ring heteroatoms.

Heterocyclylene: The term "heterocyclylene", as used herein, pertains to a divalent moiety obtained by removing a hydrogen atom from each of two adjacent ring atoms of a heterocyclic compound, which moiety has from 3 to 20 ring atoms (unless otherwise specified), of which from 1 to 10 are ring heteroatoms. Preferably, each ring has from 3 to 7 ring atoms, of which from 1 to 4 are ring heteroatoms.

The heterocyclyl or heterocyclylene group may be bonded via carbon or hetero ring atoms. Preferably, the heterocyclylene group is bonded via two carbon atoms.

When referring to heterocyclyl or heterocyclylene groups, the prefixes (e.g.  $C_{3-20}$ ,  $C_{3-7}$ ,  $C_{5-6}$ , etc.) denote the number of ring atoms, or range of number of ring atoms, whether carbon atoms or heteroatoms. For example, the term " $C_{5-6}$  heterocyclyl", as used herein, pertains to a heterocyclyl group having 5 or 6 ring atoms. Examples of groups of heterocyclyl groups include  $C_{3-20}$  heterocyclyl,  $C_{5-20}$  heterocyclyl,  $C_{3-15}$  heterocyclyl,  $C_{5-12}$  heterocyclyl,  $C_{5-10}$  heterocyclyl,

Similarly, the term " $C_{5-6}$  heterocyclylene", as used herein, pertains to a heterocyclylene group having 5 or 6 ring atoms. Examples of groups of heterocyclylene groups include  $C_{3-20}$  heterocyclylene,  $C_{5-10}$  heterocyclylene,  $C_{3-15}$  heterocyclylene,  $C_{5-15}$  heterocyclylene,  $C_{3-12}$  heterocyclylene,  $C_{5-10}$  heterocyclylene,  $C_{5-10}$  heterocyclylene,  $C_{3-1}$  heterocyclylene,  $C_{5-1}$  heterocyclylene,  $C_{5-1}$  heterocyclylene,  $C_{5-1}$  heterocyclylene, and  $C_{5-6}$  heterocyclylene.

Examples of monocyclic heterocyclyl and heterocyclylene groups include, but are not limited to, those derived from:

 $N_1$ : aziridine ( $C_3$ ), azetidine ( $C_4$ ), pyrrolidine (tetrahydropyrrole) ( $C_5$ ), pyrroline (e.g., 3-pyrroline, 2,5-dihydropyrrole) ( $C_5$ ), 2H-pyrrole or 3H-pyrrole (isopyrrole, isoazole) ( $C_5$ ), piperidine ( $C_6$ ), dihydropyridine ( $C_6$ ), tetrahydropyridine ( $C_6$ ), azepine ( $C_7$ );

 $O_1$ : oxirane  $(C_3)$ , oxetane  $(C_4)$ , oxolane (tetrahydrofuran)  $(C_5)$ , oxole (dihydrofuran)  $(C_5)$ , oxane (tetrahydropyran)  $(C_6)$ , dihydropyran  $(C_6)$ , pyran  $(C_6)$ , oxepin  $(C_7)$ ;

 $S_1$ : thiirane  $(C_3)$ , thietane  $(C_4)$ , thiolane (tetrahydrothiophene)  $(C_5)$ , thiane (tetrahydrothiopyran)  $(C_6)$ , thi- 45 epane  $(C_7)$ ;

 $O_2$ : dioxolane  $(C_5)$ , dioxane  $(C_6)$ , and dioxepane  $(C_7)$ ;

 $O_3$ : trioxane ( $C_6$ );

 $N_2$ : imidazolidine ( $C_5$ ), pyrazolidine (diazolidine) ( $C_5$ ), imidazoline ( $C_5$ ), pyrazoline (dihydropyrazole) ( $C_5$ ), pipera- 50 zine ( $C_5$ ):

 $N_1O_1$ : tetrahydrooxazole ( $C_5$ ), dihydrooxazole ( $C_5$ ), tetrahydroisoxazole ( $C_5$ ), dihydroisoxazole ( $C_5$ ), morpholine ( $C_6$ ), tetrahydrooxazine ( $C_6$ ), dihydrooxazine ( $C_6$ ), oxazine ( $C_6$ ).

 $N_1S_1$ : thiazoline ( $C_5$ ), thiazolidine ( $C_5$ ), thiomorpholine ( $C_6$ );

 $N_2O_1$ : oxadiazine ( $C_6$ );

 $O_1S_1$ : oxathiole ( $C_5$ ) and oxathiane (thioxane) ( $C_6$ ); and,

 $N_1O_1S_1$ : oxathiazine ( $C_6$ ).

Examples of substituted (non-aromatic) monocyclic heterocyclyl and heterocyclylene groups include those derived from saccharides, in cyclic form, for example, furanoses ( $C_5$ ), such as arabinofuranose, lyxofuranose, ribofuranose, and xylofuranose, and pyranoses ( $C_6$ ), such as allopyranose, altropyranose, glucopyranose, mannopyranose, gulopyranose, idopyranose, galactopyranose, and talopyranose.

10

 $C_{5-20}$  aryl: The term " $C_{5-20}$  aryl", as used herein, pertains to a monovalent moiety obtained by removing a hydrogen atom from an aromatic ring atom of a  $C_{5-20}$  aromatic compound, said compound having one ring, or two or more rings (e.g., fused), and having from 5 to 20 ring atoms, and wherein at least one of said ring(s) is an aromatic ring. Preferably, each ring has from 5 to 7 carbon atoms.

The ring atoms may be all carbon atoms, as in "carboaryl groups" in which case the group may conveniently be referred

to as a " $C_{5-20}$  carboaryl" group.

 $C_{5-20}$  arylene: The term " $\dot{C}_{5-20}$  arylene", as used herein, pertains to a divalent moiety obtained by removing a hydrogen atom from each of two adjacent ring atoms of a  $C_{5-20}$  aromatic compound, said compound having one ring, or two or more rings (e.g., fused), and having from 5 to 20 ring atoms, and wherein at least one of said ring(s) is an aromatic ring. Preferably, each ring has from 5 to 7 carbon atoms.

The ring atoms may be all carbon atoms, as in "carboarylene groups" in which case the group may conveniently be referred to as a " $C_{5-20}$  carboarylene" group.

Examples of  $C_{5-20}$  aryl and  $C_{5-20}$  arylene groups which do not have ring heteroatoms (i.e.  $C_{5-20}$  carboaryl and  $C_{5-20}$  carboarylene groups) include, but are not limited to, those derived from benzene (i.e. phenyl) ( $C_6$ ), naphthalene ( $C_{10}$ ), anthracene ( $C_{14}$ ), phenanthrene ( $C_{14}$ ), and pyrene ( $C_{16}$ ).

Alternatively, the ring atoms may include one or more heteroatoms, including but not limited to oxygen, nitrogen, and sulfur, as in "heteroaryl groups" or "heteroarylene groups". In this case, the group may conveniently be referred to as a " $C_{5-20}$  heteroaryl" or " $C_{5-20}$  heteroarylene" group, wherein " $C_{5-20}$ " denotes ring atoms, whether carbon atoms or heteroatoms. Preferably, each ring has from 5 to 7 ring atoms, of which from 0 to 4 are ring heteroatoms.

The heteroaryl or heteroarylene group may be bonded via carbon or hetero ring atoms. Preferably, the heteroarylene group is bonded via two carbon atoms.

Examples of  $C_{5-20}$  heteroaryl and  $C_{5-20}$  heteroarylene groups include, but are not limited to,  $C_5$  heteroaryl and  $C_5$  heteroarylene groups derived from furan (oxole), thiophene (thiole), pyrrole (azole), imidazole (1,3-diazole), pyrazole (1,2-diazole), triazole, oxazole, isoxazole, thiazole, isothiazole, oxadiazole, tetrazole and oxatriazole; and  $C_6$  heteroaryl groups derived from isoxazine, pyridine (azine), pyridazine (1,2-diazine), pyrimidine (1,3-diazine; e.g., cytosine, thymine, uracil), pyrazine (1,4-diazine) and triazine.

Examples of  $C_{5-20}$  heteroaryl and  $C_{5-20}$  heteroarylene groups which comprise fused rings, include, but are not limited to,  $C_9$  heteroaryl and  $C_9$  heteroarylene groups derived from benzofuran, isobenzofuran, benzothiophene, indole, isoindole;  $C_{10}$  heteroaryl and  $C_{10}$  heteroarylene groups derived from quinoline, isoquinoline, benzodiazine, pyridopyridine;  $C_{14}$  heteroaryl and  $C_{14}$  heteroarylene groups derived from acridine and xanthene.

BisoxyC<sub>1-3</sub> alkylene:  $-O-(CH_2)_m-O-$ , where m is 1 to 3

The above alkyl, alkylene, cyclic alkylene, bisoxyalkylene, 55 heterocyclyl, heterocyclylene, aryl, and arylene groups, whether alone or part of another substituent, may themselves optionally be substituted with one or more groups selected from themselves and the additional substituents listed below.

Halo: —F, —Cl, —Br, and —I.

Hydroxy: —OH.

60

Ether: —OR, wherein R is an ether substituent, for example, a  $C_{1-7}$  alkyl group (also referred to as a  $C_{1-7}$  alkoxy group), a  $C_{3-20}$  heterocyclyl group (also referred to as a  $C_{3-20}$  heterocyclyloxy group), or a  $C_{5-20}$  aryl group (also referred to as a  $C_{5-20}$  aryloxy group), preferably a  $C_{1-7}$  alkyl group.

Nitro: -NO2.

Cyano (nitrile, carbonitrile): —CN.

Acyl (keto): —C(=O)R, wherein R is an acyl substituent, for example, H, a  $C_{1-7}$  alkyl group (also referred to as  $C_{1-7}$ alkylacyl or  $\mathrm{C}_{1\text{--}7}$  alkanoyl), a  $\mathrm{C}_{3\text{--}20}$  heterocyclyl group (also referred to as C<sub>3-20</sub> heterocyclylacyl), or a C<sub>5-20</sub> aryl group (also referred to as  $C_{5-20}$  arylacyl), preferably a  $C_{1-7}$  alkyl group. Examples of acyl groups include, but are not limited to,  $-C(=O)CH_3$  (acetyl),  $-C(=O)CH_2CH_3$  (propionyl),  $-C(=O)C(CH_3)_3$  (pivaloyl), and -C(=O)Ph (benzoyl, phenone).

Carboxy (carboxylic acid): —COOH.

Ester (carboxylate, carboxylic acid ester, oxycarbonyl): -C(=O)OR, wherein R is an ester substituent, for example, a  $C_{1-7}$  alkyl group, a  $C_{3-20}$  heterocyclyl group, or a  $C_{5-20}$  aryl group, preferably a  $C_{1-7}$  alkyl group. Examples of ester  $_{15}$ groups include, but are not limited to, —C(=O)OCH<sub>3</sub>, -C(=O)OCH<sub>2</sub>CH<sub>3</sub>, -C(=O)OC(CH<sub>3</sub>)<sub>3</sub>, and -C(=O)OPh.

Amido (carbamoyl, carbamyl, aminocarbonyl, carboxamide):  $-C(=O)NR^1R^2$ , wherein  $R^1$  and  $R^2$  are independently 20 amino substituents, as defined for amino groups. Examples of amido groups include, but are not limited to,  $-C(=O)NH_2$ ,  $-C(=O)NHCH_3$  $-C(=O)N(CH_3)_2$ , NHCH<sub>2</sub>CH<sub>3</sub>, and —C(=O)N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, as well as amido groups in which  $R^1$  and  $R^2$ , together with the nitrogen atom to 25 which they are attached, form a heterocyclic structure as in, for example, piperidinocarbonyl, morpholinocarbonyl, thiomorpholinocarbonyl, and piperazinylcarbonyl.

Amino: —NR<sup>1</sup>R<sup>2</sup>, wherein R<sup>1</sup> and R<sup>2</sup> are independently amino substituents, for example, hydrogen, a C<sub>1-7</sub> alkyl group (also referred to as  $C_{1-7}$  alkylamino or di- $C_{1-7}$  alkylamino), a C<sub>3-20</sub> heterocyclyl group, or a C<sub>5-20</sub> aryl group, preferably H or a C<sub>1-7</sub> alkyl group, or, in the case of a "cyclic" amino group, R<sup>1</sup> and R<sup>2</sup>, taken together with the nitrogen atom to which they are attached, form a heterocyclic ring having from 4 to 8 35 ring atoms. Examples of amino groups include, but are not limited to,  $-NH_2$ ,  $-NHCH_3$ ,  $-NHCH(CH_3)_2$ ,  $-N(CH_3)_2$ , -N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, and -NHPh. Examples of cyclic amino groups include, but are not limited to, aziridinyl, azetidinyl, pyrrolidinyl, piperidino, piperazinyl, perhydrodiazepinyl, 40 and —OC(=O)CH<sub>2</sub>Ph. morpholino, and thiomorpholino. In particular, the cyclic amino groups may be substituted on their ring by any of the substituents defined here, for example carboxy, carboxylate

Ammonium:  $-NR^{N1}R^{N2}R^{N3}$ , wherein  $R^{N1}$ ,  $R^{N2}$  and  $R^{N3}$  45 are independently ammonium substituents, for example, a  $C_{1-7}$  alkyl group, a  $C_{3-20}$  heterocyclyl group, or a  $C_{5-20}$  aryl group and where one or two of  $R^{N1}$ ,  $R^{N2}$  and  $R^{N3}$  may also be H. One of  $\mathbb{R}^{N_1}$ ,  $\mathbb{R}^{N_2}$  and  $\mathbb{R}^{N_3}$  may be a  $\mathbb{C}_{1-3}$  alkoxy (—(CH<sub>2</sub>) <sub>1-3</sub>—OH)group. Two or three of the ammonium substituents 50 may join together to form cyclic or cage-like structures. Examples of ammonium groups include, but are not limited  $-NH(CH_3)_2$  $-NH(CH(CH_3)_2)_2$ ,  $-N(CH_2CH_3)_3$ , and  $-NH_2Ph$ .

Ammonium linking group: —NR<sup>N1</sup>R<sup>N2</sup>R<sup>N4</sup>—, wherein 55  $R^{N1}$  and  $R^{N2}$  are independently ammonium substituents, for example, a C<sub>1-7</sub> alkyl group, a C<sub>3-20</sub> heterocyclyl group, or a  $C_{5-20}$  aryl group and where one or both of  $R^{N1}$  and  $R^{N2}$  may also be H. The two ammonium substituents may join together to form a cyclic structure.  $R^{N4}$  is a divalent ammonium substituent, for example, a  $C_{1-7}$  alkylene group, a  $C_{3-20}$  heterocyclylene group, or a C<sub>5-20</sub> arylene group or a divalent C<sub>1-3</sub> alkyloxylene (—(CH<sub>2</sub>)<sub>1-3</sub>—O—) group. Examples of ammonium linking groups include, but are not limited to, -NH  $(CH_3)(CH_2)$ —, — $NH(CH(CH_3)_2)(C(CH_3)_2)$ —, — $N(CH_3)_2$  65  $-N(CH_2CH_3)_2(CH_2CH_2)$ —, and -NHPh(CH<sub>2</sub>)-(CH<sub>2</sub>)—.

Acylamido (acylamino):  $-NR^1C(=O)R^2$ , wherein  $R^1$  is an amide substituent, for example, hydrogen, a C<sub>1-7</sub> alkyl group, a C<sub>3-20</sub> heterocyclyl group, or a C<sub>5-20</sub> aryl group, preferably H or a  $C_{1-7}$  alkyl group, most preferably H, and  $R^2$  is an acyl substituent, for example, a  $C_{1-7}$  alkyl group, a  $C_{3-20}$ heterocyclyl group, or a  $C_{5\text{--}20}$  aryl group, preferably a  $C_{1\text{--}7}$ alkyl group. Examples of acylamide groups include, but are not limited to, —NHC(=O)CH<sub>3</sub>, —NHC(=O)CH<sub>2</sub>CH<sub>3</sub>, and —NHC(=O)Ph. R<sup>1</sup> and R<sup>2</sup> may together form a cyclic structure, as in, for example, succinimidyl, maleimidyl, and phthalimidyl:

Ureido: —N(R<sup>1</sup>)CONR<sup>2</sup>R<sup>3</sup> wherein R<sup>2</sup> and R<sup>3</sup> are independently amino substituents, as defined for amino groups, and R<sup>1</sup> is a ureido substituent, for example, hydrogen, a C<sub>1-7</sub>alkyl group, a C<sub>3-20</sub>heterocyclyl group, or a C<sub>5-20</sub>aryl group, preferably hydrogen or a  $C_{1-7}$ alkyl group. Examples of ureido groups include, but are not limited to, —NHCONH<sub>2</sub>, –NHCONHMe, —NHCONHEt, —NHCONMe<sub>2</sub>, —NH-CONEt<sub>2</sub>, —NMeCONH<sub>2</sub>, —NMeCONHMe, —NMeCON-HEt, —NMeCONMe<sub>2</sub>, —NMeCONEt<sub>2</sub> and —NHCON-HPh.

Acyloxy (reverse ester): —OC(=O)R, wherein R is an acyloxy substituent, for example, a  $C_{1-7}$  alkyl group, a  $C_{3-20}$ heterocyclyl group, or a  $C_{5-20}$  aryl group, preferably a  $C_{1-7}$ alkyl group. Examples of acyloxy groups include, but are not limited to, —OC(=O)CH<sub>3</sub> (acetoxy), —OC(=O)CH<sub>2</sub>CH<sub>3</sub>,  $-OC(=O)C(CH_3)_3$ , -OC(=O)Ph,  $-OC(=O)C_6H_4F$ ,

Thiol: —SH.

Thioether (sulfide): —SR, wherein R is a thioether substituent, for example, a  $C_{1-7}$  alkyl group (also referred to as a  $\rm C_{1-7}$  alkylthio group), a  $\rm C_{3-20}$  heterocyclyl group, or a  $\rm C_{5-20}$  aryl group, preferably a  $\rm C_{1-7}$  alkyl group. Examples of  $\rm C_{1-7}$ alkylthio groups include, but are not limited to, —SCH<sub>3</sub> and SCH<sub>2</sub>CH<sub>2</sub>.

Sulfoxide (sulfinyl): —S(—O)R, wherein R is a sulfoxide substituent, for example, a C<sub>1-7</sub> alkyl group, a C<sub>3-20</sub> heterocyclyl group, or a  $C_{5-20}$  aryl group, preferably a  $C_{1-7}$  alkyl group. Examples of sulfoxide groups include, but are not limited to,  $-S(=O)CH_3$  and  $-S(=O)CH_2CH_3$ .

Sulfonyl (sulfone):  $-S(=O)_2R$ , wherein R is a sulfone substituent, for example, a  $C_{1-7}$  alkyl group, a  $C_{3-20}$  heterocyclyl group, or a  $C_{5-20}$  aryl group, preferably a  $C_{1-7}$  alkyl group. Examples of sulfone groups include, but are not lim-—S(=O)<sub>2</sub>CH<sub>3</sub> (methanesulfonyl, mesyl),  $-S(=O)_2CF_3$ ,  $-S(=O)_2CH_2CH_3$ , and 4-methylphenylsulfonyl (tosyl).

Thioamido (thiocarbamyl): —C(=S)NR<sup>1</sup>R<sup>2</sup>, wherein R<sup>1</sup> and R<sup>2</sup> are independently amino substituents, as defined for amino groups. Examples of amido groups include, but are not limited to,  $-C(=S)NH_2$ ,  $-C(=S)NHCH_3$ , -C(=S)N $(CH_3)_2$ , and  $-C(=S)NHCH_2CH_3$ .

Sulfonamino: —NR¹S(=O)<sub>2</sub>R, wherein R¹ is an amino substituent, as defined for amino groups, and R is a sulfonamino substituent, for example, a C<sub>1-7</sub>alkyl group, a

 $C_{3-20}$ heterocyclyl group, or a  $C_{5-20}$ aryl group, preferably a  $C_{1-7}$ alkyl group. Examples of sulfonamino groups include, but are not limited to, —NHS( $\Longrightarrow$ O)<sub>2</sub>CH<sub>3</sub>, —NHS( $\Longrightarrow$ O)<sub>2</sub>Ph and —N(CH<sub>3</sub>)S( $\Longrightarrow$ O)<sub>2</sub>C<sub>6</sub>H<sub>5</sub>.

As mentioned above, the groups that form the above listed 5 substituent groups, e.g.  $C_{1-7}$  alkyl,  $C_{3-20}$  heterocyclyl and  $C_{5-20}$  aryl, may themselves be substituted. Thus, the above definitions cover substituent groups which are substituted.

 $C_{1-3}$  alkylene:  $-(CH_2)_m$ , where m is 1 to 3.

Chemically Protected Forms

It may be convenient or desirable to prepare, purify, handle and/or use the active compound in a chemically protected form. The term "chemically protected form" is used herein in the conventional chemical sense and pertains to a compound in which one or more reactive functional groups are protected 15 from undesirable chemical reactions under specified conditions (e.g., pH, temperature, radiation, solvent, and the like). In practice, well known chemical methods are employed to reversibly render unreactive a functional group, which otherwise would be reactive, under specified conditions. In a 20 chemically protected form, one or more reactive functional groups are in the form of a protected or protecting group (also known as a masked or masking group or a blocked or blocking group). By protecting a reactive functional group, reactions involving other unprotected reactive functional groups can be 25 performed, without affecting the protected group; the protecting group may be removed, usually in a subsequent step, without substantially affecting the remainder of the molecule. See, for example, Protective Groups in Organic Synthesis (T. Green and P. Wuts; 3rd Edition; John Wiley and Sons, 1999). 30

Unless otherwise specified, a reference to a particular compound also includes chemically protected forms thereof.

A wide variety of such "protecting," "blocking," or "masking" methods are widely used and well known in organic synthesis. For example, a compound which has two nonequivalent reactive functional groups, both of which would be reactive under specified conditions, may be derivatized to render one of the functional groups "protected," and therefore unreactive, under the specified conditions; so protected, the compound may be used as a reactant which has effectively 40 only one reactive functional group. After the desired reaction (involving the other functional group) is complete, the protected group may be "deprotected" to return it to its original functionality.

For example, a hydroxy group may be protected as an ether 45 (—OR) or an ester (—OC(—O)R), for example, as: a t-butyl ether; a benzyl, benzhydryl (diphenylmethyl), or trityl (triphenylmethyl)ether; a trimethylsilyl or t-butyldimethylsilyl ether; or an acetyl ester (—OC(—O)CH<sub>3</sub>, —OAc).

For example, an aldehyde or ketone group may be protected as an acetal  $(R - CH(OR)_2)$  or ketal  $(R_2C(OR)_2)$ , respectively, in which the carbonyl group (>C=O) is converted to a diether (>C(OR)\_2), by reaction with, for example, a primary alcohol. The aldehyde or ketone group is readily regenerated by hydrolysis using a large excess of water in the 55 presence of acid.

For example, an amine group may be protected, for example, as an amide (—NRCO—R) or a urethane (—NRCO—OR), for example, as: a methyl amide (—NHCO—CH<sub>3</sub>); a benzyloxy amide (—NHCO— 60 OCH<sub>2</sub>C<sub>6</sub>H<sub>5</sub>, —NH-Cbz); as a t-butoxy amide (—NHCO—OC(CH<sub>3</sub>)<sub>3</sub>, —NH-Boc); a 2-biphenyl-2-propoxy amide (—NHCO—OC(CH<sub>3</sub>)<sub>2</sub>C<sub>6</sub>H<sub>4</sub>C<sub>6</sub>H<sub>5</sub>, —NH-Bpoc), as a 9-fluorenylmethoxy amide (—NH-Fmoc), as a 6-nitroveratryloxy amide (—NH-Nvoc), as a 2-trimethylsilylethyloxy 65 amide (—NH-Teoc), as a 12-trichloroethyloxy amide (—NH-Troc), as a a allyloxy amide (—NH-Alloc), as a

14

2(-phenylsulphonyl)ethyloxy amide (—NH-Psec); or, in suitable cases (e.g., cyclic amines), as a nitroxide radical (>N—O.).

For example, a carboxylic acid group may be protected as an ester for example, as: a  $C_{1-7}$ alkyl ester (e.g., a methyl ester; a t-butyl ester); a  $C_{1-7}$ haloalkyl ester (e.g., a  $C_{1-7}$ trihaloalkyl ester); a  $\operatorname{triC}_{1-7}$ alkylsilyl- $C_{1-7}$ alkyl ester; or a  $C_{5-20}$ aryl- $C_{1-7}$ alkyl ester (e.g., a benzyl ester; a nitrobenzyl ester); or as an amide, for example, as a methyl amide.

For example, a thiol group may be protected as a thioether (—SR), for example, as: a benzyl thioether; an acetamidomethyl ether (—S—CH<sub>2</sub>NHC(—O)CH<sub>3</sub>).

In particular application in a disclosed embodiment is the protection of hydroxy and amino groups.

Catalysed Reactions

In one aspect of a disclosed embodiment, there is provided a process for the production of cyclic carbonates comprising contacting an epoxide with carbon dioxide in the presence of a dimeric aluminium(salen) catalyst of formula I.

This reaction has the advantage that it may be carried out at easily accessible temperatures of between 0 and 40° C. and pressures of between 0.5 and 2 atm. The reaction may even be carried out at temperatures of between 0 and 140° C. and pressures of between 0.5 and 5 atm. Preferably, the reaction temperature lies between 20 and 30° C. Yields of over 50% may be achieved with short reaction times of 3 to 24 hours, using commercially viable amounts of catalyst, that is, from 0.1 to 10 mol %, preferably 0.1 to 2.5 mol %. In some cases, yields of over 70% or over 90% may be achieved under these conditions.

The reaction may also be carried out in a flow reactor, wherein the reaction is continuous.

In some embodiments, the carbon dioxide may be supplied heated, and in other embodiments, the reaction may be heated by a conventional or microwave system.

Catalysts

The embodiments and preferences expressed below may be combined with one another, where appropriate.

In some aspects of a disclosed embodiment, L is selected from a single bond and  $C_{1-7}$  alkylene.

In some aspects of a disclosed embodiment, the aluminium (salen) catalyst of formula I is symmetrical, such that  $X^1 = X^2$ ,  $R^1 = R^{13}$ ,  $R^2 = R^{14}$ ,  $R^3 = R^{15}$ ,  $R^4 = R^{16}$ ,  $R^5 = R^9$ ,  $R^6 = R^{10}$ ,  $R^7 = R^{11}$ , and  $R^8 = R^{12}$ . More preferably  $R^1$ ,  $R^5$ ,  $R^9$ , and  $R^{13}$  are identical,  $R^2$ ,  $R^6$ ,  $R^{10}$  and  $R^{14}$  are identical,  $R^3$ ,  $R^7$ ,  $R^{11}$ , and  $R^{15}$  are identical, and  $R^4$ ,  $R^8$ ,  $R^{12}$  and  $R^{16}$  are identical. Such catalysts are of formula Ia, which may be preferred.

If the catalyst is bound to a solid support, then it will not be fully symmetrical.

In some embodiments,  $X^1$  and  $X^2$  are the same.

In some embodiments,  $X^1$  and  $X^2$  are independently selected from a  $C_{2-5}$  alkylene chain, which is preferably unsubstituted, and a  $C_{1-3}$  bisoxylakylene chain, which is preferably unsubstituted. These groups can be represented as  $-(CH_2)_n$  or  $-O-(CH_2)_p$  or , where n is 2, 3, 4, or 5 and p is 1, 2, or 3. In these embodiments, n is preferably 2 or 3 and p is preferably 1 or 2. n is more preferably 2. In these embodiments  $X^1$  and  $X^2$  are preferably selected from  $-(CH_2)_n$  (e.g.  $-C_2H_4$ ).

In other embodiments,  $X^1$  and  $X^2$  independently represent a divalent group selected from  $C_{5-7}$  arylene,  $C_{5-7}$  cyclic alkylene and  $C_{3-7}$  heterocyclylene, which may be optionally substituted. Preferably  $X^1$  and  $X^2$  independently represent  $C_{5-7}$  cyclic alkylene, and more preferably  $C_6$  cyclic alkylene. This group is preferably saturated, and therefore is the group:

In other preferred embodiments,  $X^1$  and  $X^2$  independently represent  $C_{5-7}$  arylene, which is more preferably  $C_6$  arylene, and in particular, benzylene:

When  $X^1$  and  $X^2$  independently represent a divalent group selected from  $C_{5-7}$  arylene,  $C_{5-7}$  cyclic alkylene and  $C_{3-7}$  heterocyclylene, it may preferably be unsubstituted. If it is substituted, then the substituents may be selected from nitro, halo,  $C_{1-4}$  alkyl, including substituted  $C_{1-4}$  alkyl, (e.g. methyl, benzyl).  $C_{1-4}$  alkoxy (e.g. methoxy) and hydroxy.

benzyl),  $C_{1-4}$  alkoxy (e.g. methoxy) and hydroxy. Preferably Y-Q is  $CR^{C1}$ =N, wherein  $R^{C1}$  is as defined 25 above.  $R^{C1}$  is preferably selected from H and  $C_{1-4}$  alkyl. More preferably Y-Q is CH=N.

preferably Y-Q is CH=N. If Y-Q is  $CR^{C1}R^{C2}$ — $NR^{N1}$ , then in some embodiments  $R^{C1}$ ,  $R^{C2}$  and  $R^{N1}$  are H

Preferably  $R^4 = R^8 = R^{12} = R^{16} = H$ .

In some embodiments,  $R^1 = R^5 = R^9 = R^{13} = H$ .

In some embodiments, it is one or more of  $R^3$ ,  $R^7$ ,  $R^{11}$  and  $R^{15}$  that is -L-A or L-A'. In some of these embodiments, if one of these groups is -L-A', the other groups are -L-

A. Alternatively, the other groups may be -L-A $^M$ , where 35  $A^M$  is a tertiary amine group, i.e. an amino group where the amino substituents are both not hydrogen, for example,  $C_{1-7}$  alkyl (ethyl). The L in all these groups may be the same.

Preferably those of  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$  and  $R^{16}$  which do not comprise -L-A or -L-A' 40 are independently selected from H,  $C_{1-7}$  alkyl, ether and nitro.

are independently selected from H, C $_{1.7}$  alkyl, ether and nitro. If a group selected from R $^1$ , R $^2$ , R $^3$ , R $^5$ , R $^6$ , R $^7$ , R $^9$ , R $^{10}$ , R $^{11}$ , R $^{13}$ , R $^{14}$ , R $^{15}$  and R $^{16}$  is ether, then the ether group is preferably a C $_{1.7}$  alkoxy group and more preferably C $_{1.4}$  alkoxy group, e.g. methoxy.

If a group selected from  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{13}$ ,  $R^{14}$ , and  $R^{15}$  is  $C_{1-7}$  alkyl, it is preferably butyl, more preferably tert-butyl.

L is preferably unsubstituted.

L may preferably be a C<sub>1-3</sub> alkylene group, e.g. methylene, 50 ethylene, propylene, and in some embodiments is methylene.

A may preferably be selected from ammonium groups where  $R^{N1}$ ,  $R^{N2}$  and  $R^{N3}$  are independently selected from  $C_{1-7}$  alkyl groups and  $C_{5-20}$  aryl groups, and where one or two of  $R^{N1}$ ,  $R^{N2}$  and  $R^{N3}$  may also be H. Ammonium groups of 55 particular interest in a disclosed embodiment include, but are not limited to,  $-NH(CH_3)_2$ ,  $-NH(CH(CH_3)_2)_2$ ,  $-N(CH_3)_3$ ,  $-N(CH_2CH_3)_3$ , and  $-NH_2Ph$ .

A' may preferably be selected from ammonium linking groups where  $R^{N1}$  and  $R^{N2}$  are independently selected from 60 C  $_{1-7}$  alkyl groups and C  $_{5-20}$  aryl groups, where one or both of  $R^{N1}$  and  $R^{N2}$  may also be H and where  $R^{N4}$  is a C  $_{1-7}$  alkylene group. Ammonium linking groups of particular interest in a disclosed embodiment include, but are not limited to, —NH (CH<sub>3</sub>)(CH<sub>2</sub>)—, —NH(CH(CH<sub>3</sub>)<sub>2</sub>)(C(CH<sub>3</sub>)<sub>2</sub>)—, —N(CH<sub>3</sub>)<sub>2</sub> 65 (CH<sub>2</sub>)—, —N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>CH<sub>2</sub>)—, and —NHPh (CH<sub>2</sub>)—.

In some embodiments, Q may be -C(=O)-O- or -C(=O)-NH-.

When  $X^1$  and/or  $X^2$  is substituted by -Q-L-A or -Q-L-A', it is preferably a  $C_2$  or  $C_3$  alkylene group, more preferably a  $C_2$  alkylene group, and may be of the formula:

If  $X^1$  or  $X^2$  is a divalent  $C_{3-7}$  heterocyclene group containing a ring atom which is a quaternary nitrogen atom, then it is preferably of the formula:

If  $X^1$  or  $X^2$  is a divalent  $C_{3,7}$  heterocyclene group containing a ring atom which is a quaternary nitrogen forming part of an ammonium linking group, then it is preferably of the formula:

In some aspects of a disclosed embodiment, the catalyst is of formula Ib:

wherein:

Y-Q is  $CR^{C1}$ =N or  $CR^{C1}R^{C2}$ -NR<sup>N1</sup>, where  $R^{C1}$ ,  $R^{C2}$  and  $R^{N1}$  are independently selected from H, halo, optionally substituted  $C_{1-20}$  alkyl, optionally substituted  $C_{5-20}$  aryl, ether and nitro:

each of the substituents  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$ , is independently

selected from H, halo, optionally substituted  $C_{1-20}$  alkyl (including  $CAr_3$ , where Ar is a  $C_{5-20}$  aryl group), optionally substituted  $C_{5-20}$  aryl, optionally substituted  $C_{3-20}$  heterocyclyl, ether and nitro;

X is either of the formula — $(CH_2)_n$ — or —O— $(CH_2)_p$ —O—, where n is 2, 3, 4, or 5 and p is 1, 2, or 3, or represents a divalent group selected from  $C_{5-7}$  arylene,  $C_{5-7}$  cyclic alkylene and  $C_{3-7}$  heterocyclylene, which may be optionally substituted:

at least one of  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$  is selected from L-A, where L is a single bond or a  $C_{1-7}$  alkylene group and A is an ammonium group paired with a counterion selected from Cl, Br and I;

and/or one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sub>5</sub>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, and R<sup>16</sup> is L-A', where L is as defined above and A' is a ammonium linking group bound to a solid support and paired with a counterion selected from Cl, Br and I

The preferences expressed above also apply to the catalysts of formula Ib, where appropriate.

The reaction may be carried out under solvent-free conditions, depending on the epoxides used. In some cases, the epoxides or the cyclic carbonates may act as a solvent for the catalyst. In particular, the disclosed embodiments show that propylene carbonate acts a suitable reaction solvent.

Some reactions may need the addition of a co-catalyst, Y<sup>-</sup>, and in particular MY, where M is a suitable cation, such as onium halides, which include, but are not limited to,  $R_4NY$ ,  $R_3SY$ ,  $R_4PY$  and  $R_4SbY$ , where each R is independently selected from optionally substituted  $C_{1-10}$  alkyl,  $C_{3-20}$  heterocyclyl and  $C_{5-20}$  aryl groups and one R can be an acyl group, and simple halides, e.g. NaCl, KI.

It is preferred that the co-catalyst for this reaction is of the form  $R_4NY$ , where each R is independently  $C_{1-10}$  alkyl and Y is selected from I, Br and Cl. R is preferably selected from  $C_{3-5}$  alkyl, and more preferably is butyl. Y is preferably Br. Therefore, a particularly preferred co-catalyst is  $Bu_4NBr$  (TBAB). The amount of co-catalyst is preferably less than

18

2.5%, more preferably less than 1.0 mol % and most preferably less than 0.5 mol %. In some embodiments, no separate co-catalyst is present.

Manufacture of Dimeric Aluminium(Salen) Complexes

In a third aspect of a disclosed embodiment, there is provided a process for the production of dimeric aluminium (salen) catalysts of formula I.

When the catalyst of formula I comprises one or more ammonium group paired with a counterion, it may be synthesised from a precursor comprising the corresponding ammonia groups by reaction with a organic halide (i.e. a  $C_{1-7}$  alkyl,  $C_{3-20}$  heterocyclyl or  $C_{5-20}$  aryl halide), or an organic group with another leaving group (e.g. tosylate).

When the catalyst of formula I comprises an ammonium linking group bound to a solid support, it may be synthesised from a precursor catalyst comprising a corresponding ammonia group by reaction with a halide derived solid support or a solid support derivatized with another leaving group (e.g. tosylate).

#### **EXAMPLES**

General Experimental Methods

IR spectroscopy

IR spectra of liquids or of solids dissolved in a solvent were recorded between NaCl plates on a PE Spectrum 1 spectrometer. IR spectra of pure solids were recorded on a Nicolet380 FTIR spectrometer fitted with a 'Smart orbit' attachment.

NMŘ

All NMR spectra were recorded at ambient temperature on a Bruker Avance 300 spectrometer. The sample was dissolved in CDCl<sub>3</sub> unless specified otherwise.

Mass Spectroscopy

Low resolution EI and CI spectra were recorded on a Varian Saturn 2200 GC-mass spectrometer. Low and high resolution electrospray spectra (ESI) were recorded on a Waters LCT Premier mass spectrometer.

# Synthesis of Key Intermediates

# (a) (1R,2R)-Cyclohexane-1,2-diammonium dichloride (2)

# (Larrow, J. F, et al., J. Org. Chem. 1994, 59, 193)

To a suspension of (1R,2R)-cyclohexane-1,2-diammonium L-tartrate (1)(13.7 g, 52 mmol) in MeOH (50 mL) was 30 added a cooled solution (0° C.) of acetyl chloride (27.4 mL, 385 mmol) in MeOH (50 mL). The solution was stirred at room temperature overnight. The resulting precipitate was filtered off, washed with Et<sub>2</sub>O (10 mL) and dried by suction to leave the desired product as a white powder. A second crop was obtained by diluting the mother liquor with Et<sub>2</sub>O (200 mL) and cooling for half an hour. The product was collected and dried to yield a white powder. Yield: 80%. Crystalline white powder.  $[\alpha]_D^{20}$ -16 (c 5.0, aq. 1M HCl). <sup>1</sup>H-NMR  $\delta_H$  <sup>40</sup> (DMSO-d<sub>6</sub>): 1.05-1.25 (2H, m, CH<sub>2</sub>CH<sub>2</sub>CHN), 1.30-1.55 (2H, m, CH<sub>2</sub>CH<sub>2</sub>CHN), 1.60-1.75 (2H, m, CH<sub>2</sub>CHN), 2.00-2.15 (2H, m, CH<sub>2</sub>CHN), 3.13-3.30 (2H, m, CHN), 8.70 (6H, br s, NH<sub>3</sub>).

# (b) 3-tert-Butylsalicylaldehyde (4)

# (Gisch, N.; Balzarini, J.; Meier, C. J. Med. Chem. 2007, 50, 1658)

To a stirred suspension of 2-tert-butylphenol (3)(4.55 g, 30 mmol), magnesium chloride (5.71 g, 60 mmol) and paraformaldehyde (2.08 g, 66 mmol) in THF (120 mL) at room temperature, was added triethylamine (8.35 mL, 60 mmol) dropwise. The reaction was heated to reflux for 3 hours to give an orange suspension. This was extracted using EtOAc (3×50 mL). A small amount of diluted HCl can be added if a permanent emulsion is formed. The organic layers were dried over MgSO<sub>4</sub> and the volatiles evaporated under low pressure to yield a pale yellow oil which did not need any further purification. It can become dark green on storage. Yield: 90%. Pale yellow oil.  ${}^{1}\text{H-NMR} \ \delta_{H}$ : 1.44 (9H, s, 3×CH<sub>3</sub>), 6.97 (1H, t, J=7.5 Hz,  $H_{Ar}$ ), 7.41 (1H, dd, J=1.5 Hz, J=7.5 Hz,  $H_{Ar}$ ), 7.54  $(1H, dd, J=1.2 Hz, J=7.5 Hz, H_{Ar}), 9.88 (1H, s, CHO), 11.82$ (1H, s, OH).

# (c) 3-tert-Butyl-5-chloromethylsalicylaldehyde (5)

A mixture of 3-tert-butylsalicylaldehyde (4)(3.56 g, 20 mmol) and paraformaldehyde (1.20 g, 40 mmol) was stirred with concentrated HCl (15 mL) for 14 days, although with the first drops of concentrated HCl, the emulsion became red. The mixture was then neutralized by treatment with a saturated solution of Na<sub>2</sub>CO<sub>3</sub>. The mixture was extracted with EtOAc (3×30 mL). Organic layers were dried over MgSO<sub>4</sub> and the volatiles were evaporated under low pressure to give a beige solid which did not require further purification. Yield: 97%. Beige to red solid. <sup>1</sup>H-NMR  $\delta_H$ : 1.43 (9H, s, 3×CH<sub>3</sub>), 4.59 (2H, s, CH<sub>2</sub>), 7.43 (1H, d, J=2.1 Hz, H<sub>Ar</sub>), 7.52 (1H, d, J=2.1 Hz, H<sub>Ar</sub>), 9.87 (1H, s, CHO), 11.86 (1H, s, OH).

# 3-tert-Butyl-5-diethylaminomethylsalicylaldehyde hydrochloride (6)

To a solution of 3-tert-butyl-5-chloromethylsalicylaldehyde (5)(226.5 mg, 1 mmol) in acetonitrile (60 mL), diethylamine (1 mmol) was added dropwise to give a greenish solution. The reaction was stirred at 30° C. overnight. After evaporation of volatiles, a green oil was obtained which was used without any purification for the next step. Yield: 77%. Green oil.  $\square_{max}$  3300, 2899 and 1720 cm<sup>-1</sup>. 1H-NMR  $\delta_H$ : 1.35 (9H, s, C(CH<sub>3</sub>)<sub>3</sub>), 1.45 (6H, t, J=7.2 Hz, 2×CH<sub>2</sub>CH<sub>3</sub>), 3.43 (4H, q, J=7.2 Hz, 2×CH<sub>2</sub>CH<sub>3</sub>), 4.90 (2H, s, CCH<sub>2</sub>N), 7.57 (1H, d, J=2.1 Hz, H<sub>4r</sub>), 8.03 (1H, d, J=2.1 Hz, H<sub>4r</sub>), 9.98 $(1H, s, CHO), 12.00 (1H, br s, OH). 13C-NMR \delta_c(CDCl_3, 75)$ MHz): 10.8, 28.3, 33.7, 45.8, 56.0, 119.5, 129.8, 130.3, 133.7, 137.0, 159.0, 195.9.

HRMS: Calcd. for  $C_{16}H_{26}NO_2^+$  264.1964. Found 264.1953.

# (e) (1R,2R)—N,N'-Bis(3-tert-butyl-5-diethylaminomethylsalicylidene)cyclohexane-1,2-diamine (7)

(1R,2R)-cyclohexane-1,2-diammonium dichloride (2)(93.5 mg, 0.5 mmol) and NaOMe (55 mg, 1 mmol) were stirred in MeOH (10 mL) for 30 min. Then, a solution of

3-tert-butyl-5-diethylaminomethylsalicylaldehyde hydrochloride (6)(299.8 mg, 1 mmol) in MeOH (5 mL) was added and the solution, which became rapidly yellow, was stirred overnight at 30° C. Evaporation of MeOH was followed by addition of a saturated solution of  $\rm Na_2CO_3$  (20 mL). Organic compounds were extracted using dichloromethane (3×15 mL). It is important that the aqueous phase remains completely colourless and that the organic layer changes colour from orange to green. Organic layers were dried over MgSO\_4 and volatiles were removed under vacuum to give a greenish slurry oil which was used without any purification in the next step.

Yield: 55%. Yellow-green oil.  $[α]^{20}_{D}$ -184.5 (c 1.0, CHCl<sub>3</sub>).  $\square_{max}$  3410, 2899, 1610, 1550 and 830 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_{H}$ : 0.99 (12H, t, J=7.2 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 1.40 (18H, s, 2×C (CH<sub>3</sub>)<sub>3</sub>), 1.50-2.05 (8H, m, (CH<sub>2</sub>)<sub>4</sub>), 2.44 (8H, q, J=7.2 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 3.40-3.50 (6H, m, 2×CHN, 2×CCH<sub>2</sub>N), 6.95 (2H, d, J=1.8 Hz, 2×H<sub>4</sub>,), 7.17 (2H, d, J=1.8 Hz, 2×H<sub>4</sub>,), 8.28 (2H, s, 2×HC=N), 13.77 (2H, br s, 2×OH). 13C-NMR  $\delta_{C}$ : 20 11.9, 24.4, 29.6, 33.2, 34.8, 46.8, 57.3, 72.5, 118.4, 128.8, 129.8, 130.1, 136.9, 159.3, 165.7. HRMS (ESI): Calcd. for C<sub>38</sub>H<sub>61</sub>N<sub>4</sub>O<sub>2</sub>+ 605.4795. found 605.4783.

# (f) Bis[(1R,2R)—N,N'-Bis(3-tert-butyl-5-diethy-laminomethylsalicylidene)cyclohexane-1,2-diaminoaluminium(III)]oxide (8)

This reaction has to be performed under an inert atmosphere in dry conditions. Ligand (7)(1 mmol) and Al(OEt)<sub>3 30</sub> (324.1 mg, 2 mmol) were dissolved in toluene (10 mL). The reacting mixture was heated to reflux for 5 hours. An occasional residue of alumina could be removed by filtering through a sinter. The mother liquor was evaporated and then, H<sub>2</sub>O (30 mL) and dichloromethane (30 mL) were added. The 35 complex was extracted using dichloromethane (3×20 mL) and organic layers were dried over MgSO<sub>4</sub>. Volatiles were remover under low pressure to give a pale solid, which was recrystallised using acetonitrile. Yield: 40%. Pale green solid.  $^{20}_{D}$ -522 (c 1.0, CHCl<sub>3</sub>).  $\square_{max}$  2865, 1626, 1548, 1440, 1027, 836 and 577 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$ : 1.02 (24H, t, J=7.2 Hz, 8×CH<sub>2</sub>CH<sub>3</sub>), 1.46 (36H, s, 4×C(CH<sub>3</sub>)<sub>3</sub>), 1.50-2.15 (16H, m, 2×(CH<sub>2</sub>)<sub>4</sub>), 2.52-2.7 (16H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 3.10-3.15 (2H, m, 2×CHN), 3.50-3.60 (8H, m, 4×CCH<sub>2</sub>N), 3.80-3.85 (2H, 45 m, 2×CHN), 7.09 (4H, d, J=5.7 Hz, 4×H<sub>4r</sub>), 7.35 (4H, d, J=5.7 Hz,  $4\times H_{4r}$ ), 8.19 (2H, s,  $2\times HC = N$ ), 8.37 (2H, s,  $2\times 1$ -1CN). 13C-NMR  $\delta_C$ : 10.2, 24.7, 29.9, 30.1, 35.0, 47.1, 57.6, 73.2, 118.9, 119.2, 128.9, 135.3, 141.7, 157.2, 165.1. m/z (ESI) 661.5 (100), 1275.9 (80), 1276.9 (72), 1277.9 (32), 1278.9 50 (16), 1279.9(4)

# (g) 5-Diethylaminomethylsalicylaldehyde 15

To a solution of 5-(chloromethyl)salicylaldehyde (14)(345 mg, 2 mmol) in acetonitrile (70 mL), diethylamine (0.21 mL,

2 mmol) was added and the mixture refluxed overnight. Then, the solvent was evaporated to give compound 15 (625 mg, 99%) as a yellow oil.  $\square_{max}$  3383, 2648 and 1653 cm $^{-1}$ .  $^{1}$ H-NMR  $\delta_{H}$  1.37 (6H, t, J=7.2 Hz, 2×CH $_{2}$ CH $_{3}$ ), 3.43 (4H, q, J=7.2 Hz, 2×CH $_{2}$ CH $_{3}$ ), 4.09 (2H, s, CCH $_{2}$ N), 7.05 (1H, d, J=8.6 Hz, H $_{Ar}$ ), 8.03 (1H, d, J=8.6 Hz, H $_{Ar}$ ), 8.14 (1H, s, H $_{Ar}$ ), 9.98 (1H, s, CHO), 12.32 (1H, br s, OH).  $^{13}$ C-NMR  $\delta_{C}$  11.4, 46.4, 56.0, 118.8, 121.3, 136.7, 139.0, 162.7, 196.5. m/z(ESI) 208 (MH $^{+}$ , 100), 293 (30), 317 (10). HRMS (ESI): Calculated for M $^{+}$  (C $_{12}$ H $_{17}$ NO $_{2}$ +) 208.1338. Found 208.1355.

(h) N,N'-Bis(3-tert-butyl-5-diethylaminomethylsalicylidene)ethane-1,2-diamine 17

Compound 17 was synthesised from compounds 6 and 16 in an analogous manner to step (e) above. A yellow oil was obtained in 56% yield.  $\square_{max}$  2965, 2524 and 1629 cm<sup>-1</sup>. 1H-NMR  $\delta_H$  1.44 (12H, t J=7.5 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 1.51 (18H, s, 2×C(CH<sub>3</sub>)<sub>3</sub>), 2.70-2.80 (4H, m, CCH<sub>2</sub>N), 3.02 (8H, q J=7.2 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 3.60-3.80 (4H, m, 2×CH<sub>2</sub>), 7.07-7.35 (4H, m, 4×H<sub>4r</sub>), 8.25 (2H, s, 2×HC=N), 13.99 (2H, br s, 2×OH). 13C-NMR  $\delta_C$  10.4, 28.0, 33.3, 45.2, 55.7, 58.1, 116.8, 128.2, 128.8, 135.6, 157.7, 165.3, 165.8. m/z(ESI) 551 (MH<sup>+</sup>, 100), 524 (30).

HRMS (ESI): Calculated for MH $^+$  ( ${\rm C_{34}H_{55}N_4O_2}^+$ ) 551.4325. Found 551.4294.

25

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# (i) (1R,2R)—N,N'-Bis(5-diethylaminomethylsalicylidene)cyclohexane-1,2-diamine 18

Compound 18 was synthesised from compounds 15 and 2 in an analogous manner to step (e) above. A yellow oil was obtained in 65% yield.  $\square$  [ $\alpha$ ] $^{20}$ D-302 (c 0.1, CHCl<sub>3</sub>).  $\square$ max 45 2939, 1631 and 1089 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$  1.25 (12H, t J=7.2 Hz, 4xCH<sub>2</sub>CH<sub>3</sub>), 1.50-2.00 (8H, m, (CH<sub>2</sub>)<sub>4</sub>) 2.89 (8H, q J=7.6 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 2.90-3.00 (4H, m, 2×CCH<sub>2</sub>N), 3.90- $4.00 (2H, m, 2 \times CH), 6.83 (2H, d J=8.3 Hz, 2 \times H_{Ar}), 7.36 (2H, d J=8.3 Hz, 2 \times H$ d J=8.4 Hz,  $2\times H_{Ar}$ ), 7.43 (2H, s,  $2\times H_{Ar}$ ) 8.25 (2H, s,  $2\times HC = N$ ), 13.40 (2H, br s,  $2\times OH$ ). <sup>13</sup>C-NMR  $\delta_C$  9.5, 24.4, 33.0, 46.9, 56.0, 72.6, 117.7, 118.9, 120.8, 134.3, 134.8, 161.4, 165.3. m/z(ESI) 493 (MH+, 100), 420 (20). HRMS (ESI): Calculated for MH+ ( $\mathrm{C_{30}H_{45}N_4O_2}^+$ ) 493.3542. Found 55  $\mathrm{X}^2$ 493.3544.

# (i) N,N'-Bis(5-diethylaminomethylsalicylidene) ethane-1,2-diamine 19

Compound 19 was synthesised from compounds 15 and 16 in an analogous manner to step (e) above. A yellow oil was obtained in 53% yield.  $\square\square_{max}$  2801, 1632, and 1083 cm<sup>-1</sup>.  $^{1}$ H-NMR  $\delta_{H}$  1.21 (12H, t J=7.5 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 2.70-2.80 (4H, m, CCH<sub>2</sub>N), 2.96 (8H, q J=7.2 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 3.85- $3.95(4H, m, 2\times CH_2), 6.83(2H, dJ=8.6Hz, 2\times H_{4r}), 7.26(2H, dJ=8.6Hz, 2\times H_{4r}), 7.26(2Hz, 2\times H_{4r}), 7.26(2Hz$ d J=8.6 Hz,  $2\times H_{4r}$ ), 7.42 (2H, s,  $2\times H_{4r}$ ), 8.34 (2H, s,  $2\times HC = N$ ), 13.21 (2H, br s,  $2\times OH$ ). <sup>13</sup>C-NMR  $\delta_C$  11.9, 43.5, 47.8, 57.2, 117.0, 129.6, 126.5, 130.4, 132.0, 133.4, 166.9. m/z(ESI) 439 (MH+, 100), 420 (20). HRMS (ESI): Calculated for MH<sup>+</sup> ( $C_{34}H_{55}N_4O_2^+$ ) 439.3073. Found 439.3090.

NEt<sub>2</sub>

NEt<sub>2</sub>

NEt<sub>2</sub>

NH

NH

NEt<sub>2</sub>

$$X^{2}$$

NH

NEt<sub>2</sub>

17:  $X^{1} = {}^{t}Bu, X^{2} = H$ 

18:  $X^{1} = H, X^{2} = (CH_{2})_{4}$ 

19:  $X^1 = H$ ,  $X^2 = H$ 

30

35

40

-continued NEt<sub>2</sub> Et<sub>2</sub>N 
$$X^1$$
  $X^1$   $X^1$   $X^2$   $X^2$   $X^2$   $X^1$   $X^1$   $X^1$   $X^2$   $X^2$   $X^2$   $X^2$   $X^2$   $X^3$   $X^4$   $X^4$   $X^4$   $X^2$   $X^3$   $X^4$   $X^4$ 

(k) Bis[N,N'-Bis(3-tert-butyl-5-diethylaminomethylsalicylidene)ethane-1,2-diaminoaluminium(III)]oxide 22, Bis[(1R,2R)—N,N'-Bis(5-diethylaminomethylsalicylidene)-cyclohexane-1,2-diaminoaluminium (III)]oxide 23 and Bis[N,N'-Bis(5-diethylaminomethylsalicylidene)ethane-1,2-diaminoaluminium(III)]oxide 24

24:  $X^1 = H, X^2 = H$ 

Ligands 17-19 were converted to complexes 22-24 in an analogous manner to step (f) above.

22: pale yellow solid obtained in 55% yield.  $\square\square_{max}$  2522 and 2159 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$  1.10-1.20 (24H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 1.43 (36H, s, 4×C(CH<sub>3</sub>)<sub>3</sub>), 2.90-3.15 (16H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 3.50-3.60 (8H, m, 4×CCH<sub>2</sub>N), 3.70-4.00 (8H, m, 4×CH<sub>2</sub>N), 7.19 (4H, s, 4×H<sub>Ar</sub>), 7.30 (4H, s, 4×H<sub>Ar</sub>), 8.33 (4H, s, 4×HC=N). <sup>13</sup>C-NMR  $\delta_C$  11.2, 24.2, 33.6, 46.5, 55.3, 55.9, 120.9, 135.5, 137.5, 137.8, 139.6, 140.9, 162.0. m/z (ESI) 1170 (10), 1169 (35), 1168 (90), 1167 (MH<sup>+</sup>, 100).

HRMS (ESI): Calculated for MH $^+$  ( $\rm C_{68}H_{104}N_8O_5Al_2^+$ ) 1167.7839. Found 1167.7799.

23: pale yellow solid obtained in 67% yield.  $\square$  [a]<sup>20</sup><sub>D</sub>-170 (c 0.01, DMSO).  $\square$ <sub>max</sub> 2859, 1631 and 1084 cm<sup>-1</sup>. <sup>1</sup>H-NMR <sup>55</sup>  $\delta$ <sub>H</sub> (DMSO-d<sub>6</sub>): 1.04-1.99 (24H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 1.50-2.15 (16H, m, 2×(CH<sub>2</sub>)<sub>4</sub>), 2.5-2.6 (16H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 3.1-4.5 (12H, m, 4×CHN+4×CCH<sub>2</sub>N), 6.91 (4H, d, J=7.6 Hz, 4×H<sub>Ar</sub>), 7.40 (4H, d J=7.6 Hz, 4×H<sub>Ar</sub>), 7.55 (4H, s, 4×H<sub>Ar</sub>), 60 8.43 (4H, s, 4×HC=N).

24: pale yellow solid obtained in 65% yield.  $\square\square_{max}$  2971, 2225 and 1634 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$  (DMSO-d<sub>6</sub>): 1.05 (24H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 2.15-3.5 (24H, m, 8×CH<sub>2</sub>CH<sub>3</sub>+4×CCH<sub>2</sub>N), 6.5 3.90 (8H, m, CH<sub>2</sub>N), 6.81 (4H, d J=5.7 Hz, 4×H<sub>Ar</sub>), 7.32 (8H, m, 8×H<sub>Ar</sub>), 8.50 (4H, s, HC=N).

$$\begin{array}{c} \operatorname{Br}^{\cdot} \\ \operatorname{N}^{+}(\operatorname{Bn})\operatorname{Et}_{2} \\ \end{array}$$

50

65

(a) (1R,2R)—N,N'-Bis[3-tert-butyl-5-(N-benzyl-N, N-diethylaminomethyl)salicylidene]cyclohexane-1, 2-diamine dibromide (9)

To a solution of (1R.2R)—N,N'-bis(3-tert-butyl-5-diethylaminomethylsalicylidene)-cyclohexane-1,2-diamine (7)(604.9 mg, 1 mmol) in acetonitrile (60 mL), benzyl bromide (342.1 mg, 2 mmol) was added dropwise to give a yellow solution. The reaction was stirred at 30° C. overnight. After evaporation of volatiles, a yellow oil was obtained 10 which was recrystallised from n-hexane. Yield: 55%. Bright yellow solid.  $[\alpha]^{20}_{D}$ -38.6 (c 1.0, CHCl<sub>3</sub>).  $\Box\Box_{max}$  3392, 2960, 1608, 1545 and 1470 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$ : 1.00-2.00 (38H, m, 10×CH<sub>3</sub>, (CH<sub>2</sub>)<sub>4</sub>), 3.40-3.50 (2H, m, 2×CHN), 3.56 (8H, q, J=7.5 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 4.20 (4H, 2×CCH<sub>2</sub>N), 4.99 (4H, 15  $2\times$ CCH<sub>2</sub>N), 6.44 (2H, d, J=2.4 Hz,  $2\times$ H<sub>4r</sub>), 7.65 (2H, d, J=2.4 Hz,  $2\times H_{Ar}$ ), 8.38 (2H, s,  $2\times HC = N$ ), 14.30 (2H, br s,  $2\times OH$ ). <sup>13</sup>C-NMR  $\delta_C$ : 11.3, 23.4, 29.3, 31.2, 35.1, 51.1, 60.3, 60.4, 72.7, 120.2, 128.6, 128.8, 129.2, 129.9, 130.6, 134.9, 157.2, 163.9.

(b) Bis[(1R,2R)—N,N'-Bis(3-tert-butyl-5-(N-benzyl-N,N-diethylaminomethyl)salicylidene bromide]cy-clohexane-1,2-diaminoaluminium(III) oxide (10)

This reaction has to be performed under an inert atmosphere in dry conditions. Ligand (9)(1 mmol) and Al(OEt)<sub>3</sub> (324.1 mg, 2 mmol) were dissolved in a mixture of toluene (5 mL) and ethanol (5 mL). The reacting mixture was heated to reflux for 5 hours. An occasional residue of alumina could be 30 removed by filtering through a sinter. The mother liquor was evaporated, then H<sub>2</sub>O (30 mL) and dichloromethane (30 mL) were added. The complex was extracted using dichloromethane (3×20 mL) and organic layers were dried over MgSO<sub>4</sub>. Volatiles were remover under low pressure to give a pale solid, which was recrystallised using Et<sub>2</sub>O. Yield: 33%. Pale yellow solid.  $[\alpha]^{20}_{D}$ -281 (c 1.0, CHCl<sub>3</sub>). IR 2946, 1624, 1551, 1470, 1208 and 1030 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$ : 1.05 (24H, t, J=7.3 Hz,  $8\times CH_2CH_3$ ), 1.45 (36H, s,  $4\times C(CH_3)_3$ ), 1.50-2.20 (16H, m, 2×(CH<sub>2</sub>)<sub>4</sub>), 2.45-2.50 (16H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 3.10-3.15 (2H, m, 2×CHN), 3.40-3.50 (16H, m, 8×CCH<sub>2</sub>N), 3.80-40 3.85 (2H, m, 2×CHN), 7.10-7.50 (28H, m,  $28\times\bar{H}_{Ar}$ ), 8.20 (2H, s, 2×HC=N), 8.35 (2H, s, 2×HC=N).  $^{13}$ C-NMR  $\delta_C$ : 10.3, 24.5, 30.0, 30.2, 34.6, 48.3, 57.6, 60.2, 73.8, 119.1, 119.6, 124.6, 127.4, 127.9, 128.9, 135.2, 141.6, 156.5, 166.1.

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28 -continued N+(Bn)Et2 t-Bu ÓН OH t-Bu  $N^{+}(Bn)Et_{2}$ 20 Br Br  $N^+\!(\mathrm{Bn})\mathrm{Et}_2$  $N^+(Bn)Et_2$ Βu  $^t$ Bı  $t_{\rm Bu}$ Bu! N<sup>+</sup>(Bn)Et<sub>2</sub> N+(Bn)Et2

(c) N,N'-Bis[3-tert-butyl-5-(N-benzyl-N,N-diethy-laminomethyl)salicylidene]ethane-1,2-diamine dibromide (20)

Br

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Br

The bis-ammonium salt (20) was synthesised from the salen ligand (17) in an analogous manner to step (a) above.  $\square_{max}$  3383, 2648 and 1647 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$  1.44 (12H, t 55 J=7.5 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 1.51 (18H, s, 2×C(CH<sub>3</sub>)<sub>3</sub>), 2.78 (8H, q J=7.2 Hz, 4×CH<sub>2</sub>CH<sub>3</sub>), 3.6-3.8 (4H, m, 2×CH<sub>2</sub>N), 3.9-4.1 (4H, m, CCH<sub>2</sub>N), 7.0-7.4 (4H, m, 4×H<sub>Ar</sub>), 8.25 (2H, s, 2×HC=N), 13.99 (2H, br s, 2×OH). <sup>13</sup>C-NMR  $\delta_C$  10.9, 29.6, 35.1, 46.5, 56.6, 59.7, 65.7, 118.8, 121.1, 127.5, 128.8, 129.0, 60 129.5, 135.5, 137.3, 139.6, 141.0, 162.6.

> (d) Bis[N,N'-Bis(3-tert-butyl-5-(N-benzyl-N,N-diethylaminomethyl)salicylidene bromide]ethane-1,2diaminoaluminium(III) oxide (21)

The catalyst (21) was synthesised from the bis-ammonium salt (20) in an analogous manner to step (b) above. A pale

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yellow solid was obtained in 42% yield.  $\square_{max}$  2950, 1634 and 1029 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$  1.05 (24H, t J=7.3 Hz, 8×CH<sub>2</sub>CH<sub>3</sub>), 1.45 (36H, s, 4×C(CH<sub>3</sub>)<sub>3</sub>), 2.95-3.15 (16H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 3.20-3.45 (4H, m, 2×CH<sub>2</sub>N), 3.90-4.30 (4H, m, 2×CH<sub>2</sub>N), 4.50 (8H, s, 4×CCH<sub>2</sub>N), 7.10-7.50 (28H, m, 28×H<sub>4r</sub>), 8.00 <sup>5</sup> (2H, s, 2×HC=N), 8.13 (2H, s, 2×HC=N). <sup>13</sup>C-NMR  $\delta_C$  11.5, 30.0, 35.7, 46.91, 55.4, 57.3, 65.7, 119.2, 119.6, 126.3, 131.1, 132.5, 132.6, 134.4, 141.9, 164.9, 170.1.

NEt<sub>2</sub> Et<sub>2</sub>N NEt<sub>2</sub> Et<sub>2</sub>N 23:  $X^2 = (CH_2)_4$ 24:  $X^2 = H$ Br- $N^{+}(Bn)Et_{2}$  $N^+(Bn)Et_2$ N+(Bn)Et2 N+(Bn)Et2 Br Br 25:  $X^2 = (CH_2)_4$ 26:  $X^2 = H$ 

(e) Bis[(1R,2R)—N,N'-Bis(5-(N-benzyl-N,N-diethy-laminomethyl)salicylidene bromide]cyclohexane-1, 2-diaminoaluminium(III) oxide (25) and Bis[N,N'-Bis(5-(N-benzyl-N,N-diethylaminomethyl) salicylidene bromide]ethane-1,2-diaminoaluminium (III) oxide (26)

Benzyl bromide (0.07 mL, 0.6 mmol) was added to a 65 solution of bimetallic complex (23) or (24) (0.1 mmol) in propylene carbonate ( $10 \, \text{mL}$ ) and the reaction stirred at  $85^{\circ} \, \text{C}$ .

for 16 hours. The solvent was evaporated in vacuo to give compound (25) or (26) as a pale orange powder.

25: pale orange solid obtained in 52% yield.  $[\alpha]^{20}_{D}$ -240 (c 0.01, DMSO).  $\square_{max}$  2923, 1625 and 1088 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_{H}$  (DMSO-d<sub>6</sub>): 1.04-1.99 (24H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 1.50-2.15 (16H, m, 2×(CH<sub>2</sub>)<sub>4</sub>), 2.5-2.6 (16H, m, 8×CH<sub>2</sub>CH<sub>3</sub>), 3.1-3.9 (12H, m, 4×CHN+4×CCH<sub>2</sub>N), 4.50 (8H, s, 4×CCH<sub>2</sub>N), 6.74 (4H, d, J=7.3 Hz, 4×H<sub>Ar</sub>), 6.82 (4H, d, J=7.3 Hz, 4×H<sub>Ar</sub>), 7.13-7.46 (24H, m, 24×H<sub>Ar</sub>), 8.43 (4H, s, 4×HC=N).

26: pale orange solid obtained in 40% yield.  $\square_{max}$  2960, 1644 and 1032 cm<sup>-1</sup>. <sup>1</sup>H-NMR  $\delta_H$  (DMSO-d<sub>6</sub>): 0.99 (12H, m, 4×CH<sub>3</sub>CH<sub>2</sub>), 1.52 (12H, m, 4×CH<sub>3</sub>CH<sub>2</sub>), 3.21 (16H, q J=7.1 Hz, 8×CH<sub>2</sub>CH<sub>3</sub>), 4.1-4.3 (16H, m, 4×CCH<sub>2</sub>N+4×CH<sub>2</sub>N), 4.57 (8H, s, 4×CCH<sub>2</sub>N), 6.97 (4H, d, J=8.3 Hz, 4×H<sub>Ar</sub>), 7.1-7.4 (24H, m, 24×H<sub>Ar</sub>), 7.5-7.6 (4H, m, 4×H<sub>Ar</sub>), 7.60 (4H, s, 4×HC=N).

# Example 2

Merrifield resin (120 mg, 0.2 mmol) was swollen in a minimal amount of DMF/dichloromethane. Complex (8)(240 mg, 0.4 mmol) was added and the mixture was stirred for 24 hours at 30° C. The resin was filtered off and washed using DMF (10 mL), DMF/dichloromethane (1:1) (10 mL), dichloromethane (10 mL), then it was dried under vacuum to give compound (12) as a yellow-coloured resin.

(b) Immobilized catalyst 27 was synthesised from complex (22) in an analogous manner to step (a) above. 75% yield.  $\square_{max}$  2963, 2159, 1634, 1451 and 1091 cm<sup>-1</sup>.

# Example 4

(a) Merrifield resin (120 mg, 0.2 mmol) was converted into the corresponding bromomethyl resin by treatment with a large excess of tetrabutylammonium bromide for several days 60 in dichloromethane/DMF. Complex (8)(240 mg, 0.4 mmol) was added to this resin and the mixture was stirred for 24 hours at 30° C. The resin was filtered off and was washed using DMF (10 mL), DMF/dichloromethane (1:1) (10 mL), dichloromethane (10 mL), then dried under vacuum to give 65 yellow-coloured resin (13).  $\square_{max}$  2920, 2160, 1634, 1450 and 1066 cm<sup>-1</sup>,

A sample of resin (13) or (27) (0.04 mmol) was swollen in DMF/CH $_2$ Cl $_2$  and a large excess of benzyl bromide (40 mg, 0.24 mmol) was added. The mixture was stirred at room temperature for 24 hours, then the reaction was filtered and the resin washed with DMF (10 mL), DMF/dichloromethane (1:1) (10 mL), dichloromethane (10 mL), then dried in vacuo to give a yellow-coloured resin.

(28):  $\square_{max}$  2922, 2158, 1634, 1635, 1450 and 1028 cm<sup>-1</sup> (29): 83% yield.  $\square_{max}$  2961, 2153, 1636, 1544 and 1023 cm<sup>-1</sup>

# Example 5

# a) Complexes (10), (21), (25) and (26)

Complexes (10), (21), (25) and (26) were tested in the 5 reaction of some terminal epoxides with carbon dioxide at room temperature and atmospheric pressure.

TABLE 1

Cyclic carbonate formation from epoxides.							
Entry	Complex	R	TBAB (mol-%)	Time (h)	Conversion (%) <sup>a</sup>		
1	10	Ph	0	3	71		
2	10	Ph	0	6	97		
3	10	Ph	2.5	3	74		
4	10	n-Bu	0	3	$30^{b}$		
5	10	n-Bu	0	6	63 <sup>b</sup>		
6	10	n-Octyl	0	3	44		
7	10	n-Octyl	0	6	81		
8	10	CH <sub>2</sub> OH	0	3	55		
9	10	CH <sub>2</sub> OH	0	6	79		
10	10	CH <sub>2</sub> Cl	0	3	59 <sup>b</sup>		
11	10	CH <sub>2</sub> Cl	0	6	81 <sup>b</sup>		
$12^{c}$	10	Me	0	3	$50^{b}$		
$13^c$	10	Me	0	6	$62^{b}$		
14	21	Ph	0	3	69		
15	21	Ph	0	6	88		
16	21	Ph	0	24	99		
17	25	Ph	0	3	48		
18	25	Ph	0	6	60		
19	25	Ph	0	24	73		
20	26	Ph	0	3	54		
21	26	Ph	0	6	69		
22	26	Ph	0	24	89		

Reactions carried out neat with dimeric Al(salen) complex (2.5 mol-%) and the corresponding epoxide (1 mmol) under carbon dioxide at 26° C. and 1 atm unless otherwise stated. "Determined by <sup>1</sup>H-NMR spectroscopy.

# b) Complexes 12 & 13

These complexes were used at 2.5 mol % in propylene carbonate (5 eq.) and styrene oxide (1 mmol) under carbon 50 dioxide at 26° C. and 1 atmosphere pressure unless otherwise stated below. The reaction was carried out by dissolving the complexes (0.0415 mmol) in propylene carbonate (0.85 g) in a sample vial fitted with a magnetic stirrer bar. Styrene oxide (200 mg, 1.66 mmol) was then added and the sample vial was placed in a large conical flask. The conical flask was placed in an oil bath thermostatted at 26° C. Cardice pellets were added to the conical flask which was fitted with a rubber stopper pierced by a deflated balloon. The reaction was stirred for the required length of time, then filtered to remove the supported catalyst which was washed thoroughly with CH<sub>2</sub>Cl<sub>2</sub> (20 mL). The filtrate and washings were combined and evaporated in vacuo and the residue analysed by <sup>1</sup>H NMR spectroscopy to determine the conversion of styrene oxide to styrene carbon- 65 ate. The immobilized catalyst could be returned to the sample vial and reused, as shown with the repeated runs below.

Entry	Catalyst	Run	Time (h)	Conversion (%) <sup>a</sup>
$1^{b,c}$	12	1st	3	0
$2^{b,c,d}$ $3^{b,c,d}$	12	1st	3	17
$3^{b,c,d}$	12	2nd	3	9
$4^c$	12	1st	3	37
5°	12	1st	6	67
$6^c$	12	1st	20	100
7 <sup>c</sup>	12	2nd	3	5
$8^c$	12	2nd	6	37
$9^c$	12	2nd	20	86
$10^{c}$	12	3rd	3	0
$11^{c}$	12	3rd	6	20
$12^c$	12	3rd	20	63
13	13	1st	3	11
14	13	1st	6	69
15	13	1st	20	100
16	13	2nd	3	0
17	13	2nd	6	37
18	13	2nd	20	94
19	13	3rd	3	5
20	13	3rd	6	25
21	13	3rd	20	70

<sup>&</sup>lt;sup>a</sup>Determined by GC.

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The use of propylene carbonate as a solvent is compatible with the catalytic system.

c) Complexes (28) and (29)

Complexes (28) and (29) were tested at 2.5 mol % in the in the reaction of styrene oxide (1 mmol) with carbon dioxide at <sup>30</sup> 26° C. and 1 atmosphere pressure in the presence of propylene carbonate (5 eq.) as a solvent, with 20 hours for each reaction. The complexes were found to be recyclable. The method is as in step b) above.

Complex (28) gave styrene carbonate yields of 79, 73, 66  $^{35}$  and 60% over four consecutive reactions.

Complex (29) gave styrene carbonate yields of 79, 71, 67 and 64% over four consecutive reactions.

# Example 6

# (a) Synthesis of [Al(salen)]<sub>2</sub>O Electrostatically Supported on Clays

Clays (0.5 g) were activated at 120° C. for three days prior to use. After the activation, the clay (0.5 g) was added to a solution of aluminium complex (21) (0.140 g, 0.08 mmol) in DMF (30 mL) and refluxed for 30 hours. Subsequently, the mixture was filtered and washed with DMF (4×20 mL), EtOAc (4×20 mL) and dichloromethane (3×20 mL) to yield a powder which was dried under vacuum for 24 hours.

[Al(salen)]<sub>2</sub>O supported on Bentonite (30): Pale brown solid, yield 99%.  $\square_{max}$  3540, 2150, 1987 and 1659 cm<sup>-1</sup>.

[Al(salen)]<sub>2</sub>O supported on Montmorillonite K10 (31):

Purple solid, yield 99%.  $\square_{max}$  3500, 1659 and 922 cm<sup>-1</sup>. [Al(salen)]<sub>2</sub>O supported on Al pillared clay (32): Pale brown solid, yield 99%.  $\square_{max}$  3500, 1654 and 1034 cm<sup>-1</sup>.

# (b) Synthesis of Styrene Carbonate Using [Al(salen)]<sub>2</sub>O Electrostatically Supported on Clays

Styrene oxide (0.2 g, 1.66 mmol) and catalyst (30-32) (0.5 g, 0.1 mmol/g support) in propylene carbonate (0.84 g) were placed in a sample vial fitted with a magnetic stirrer bar and placed in a large conical flask. The conical flask was placed in an oil bath thermostatted at 26° C. Cardice pellets were added to the conical flask which was fitted with a rubber stopper pierced by a deflated balloon. The contents of the reaction vial

<sup>&</sup>lt;sup>b</sup>Volatile starting material was removed and the yield was determined by difference of

weight. "Reaction performed at 0° C.

bReaction performed solvent-free

<sup>&</sup>lt;sup>c</sup>Reaction performed in the presence of 2.5 mol % of TBAB

Only 0.5 mol % of the complex (12) was used.

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were stirred for 24 hours during which time the balloon inflated as the cardice pellets evaporated. The reaction was filtered to remove supported catalyst and the solution analysed by GC to determine the conversion of styrene oxide into styrene carbonate.

Catalyst	Conversion (%)	
30	5%	
31	3%	
32	7%	

Example 7

# (a) Synthesis of Modified SiO<sub>2</sub>

(3-Chloropropyl)-triethoxysilane (0.16 mL, 2 mmol) was added to a mixture of activated SiO<sub>2</sub> (2 g) in dry toluene (25 mL) and refluxed under  $N_2$  for 24 hours. Subsequently, the  $_{25}$ mixture was filtered and washed with EtOAc (4×50 mL) to yield a yellow powder (95%).  $\square_{max}$  2505, 2160 and 1975  $cm^{-1}$ .

# (b) Synthesis of [Al(salen)]<sub>2</sub>O<sup>+</sup>Cl<sup>-</sup> Supported on Modified SiO<sub>2</sub> (33)

NEt<sub>2</sub> 
$$Et_2N$$

NEt<sub>2</sub>  $I_{Bu}$ 

NEt<sub>2</sub>  $I_{Bu}$ 

NEt<sub>2</sub>  $I_{Bu}$ 

NEt<sub>2</sub>  $I_{Bu}$ 

NEt<sub>2</sub>  $I_{Bu}$ 

22

33

Modified SiO<sub>2</sub> (0.1 g) was added to a solution of alu-30 minium complex (22) (0.175 g, 0.15 mmol) in CH<sub>3</sub>CN (40 mL) and the mixture was refluxed overnight. Then, the mixture was filtered and washed with EtOAc (4×50 mL) to give silica supported complex (33) as a yellow powder.  $\square\square_{max}$ 3500, 1649 and 1070 cm<sup>-1</sup>.

# (c) Synthesis of [Al(salen)]<sub>2</sub>O<sup>+</sup>Br<sup>-</sup> Supported on Modified SiO<sub>2</sub> (34)

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 $\mathrm{CH_2Ph}$ 

-continued

NEt<sub>2</sub>

$$IBu$$
 $IBu$ 
 $I$ 

Br Bu Br Br Br Br Br CH<sub>2</sub>Ph

Osilica

35

Tetrabutylammonium bromide (193 mg, 6 mmol) was added to a suspension of supported complex (33) (0.220 g, 1  $_{30}$  mmol) in CH $_{3}$ CN (40 mL) and the resulting mixture was refluxed overnight. Then, the mixture was filtered and washed with EtOAc (4×50 mL) to give silica supported complex (34) as a yellow powder.  $\square_{max}$  3427, 1628 and 1070 cm $^{-1}$ .

# (d) Synthesis of Benzylated [Al(salen)]<sub>2</sub>O<sup>+</sup>Br<sup>-</sup> Supported on Modified SiO<sub>2</sub>(35)

Benzyl bromide (0.09 mL, 0.72 mmol) was added to a suspension of supported catalyst (34) (0.240 g, 1 mmol) in CH<sub>3</sub>CN (40 mL) and the resulting mixture was refluxed overnight. Subsequently, the mixture was filtered and washed with EtOAc (4×50 mL) to give silica supported complex (35) as a yellow powder.  $\Box_{max}$  3410, 1633 and 1078 cm $^{-1}$ .

# Example 8

# (a) Synthesis of Modified Al Pillared Clay

(3-Chloropropyl)-triethoxysilane (0.16 mL, 2 mmol) was added to a mixture of activated Al pillared clay (2 g) in dry toluene (25 mL) and the mixture was refluxed under N<sub>2</sub> for 24 hours. Then, the mixture was filtered and washed with EtOAc (4×50 mL) to give a yellow powder (95%).  $\square_{max}$  3512 and 1028 cm<sup>-1</sup>.

# (b) Synthesis of [Al(salen)]<sub>2</sub>O<sup>+</sup>Cl<sup>-</sup> Supported on Modified Al Pillared Clay (36)

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Modified Al pillared clay (0.1~g) was added to a solution of aluminium complex (22) (0.175~g, 0.15~mmol) in CH<sub>3</sub>CN (40~mL) and the mixture was then refluxed overnight. Subsequently, the mixture was filtered and washed with EtOAc  $(4\times50~mL)$  and dichloromethane  $(3\times50~mL)$  to give clay supported complex (36) as a yellow powder.  $\square_{max}$  3410, 1627 and 1031 cm<sup>-1</sup>.

# (c) Synthesis of Benzylated [Al(salen)]<sub>2</sub>O<sup>+</sup>Br<sup>-</sup> Supported on Modified Al Pillared Clay (37)

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Benzyl bromide (0.09 mL, 0.72 mmol) and tetrabutylammonium bromide (193 mg, 6 mmol) were added to a solution of supported complex (36) (0.220 g, 1 mmol) in CH<sub>3</sub>CN (40 mL) and the resulting mixture was refluxed overnight. Subsequently, the mixture was filtered and washed with EtOAc (4×50 mL) to give clay supported complex (37) as a yellow powder. max 3415, 1627 and 1028 cm $^{-1}$ .

# Example 9

# Synthesis of Styrene Carbonate Using Supported Catalysts (35) and (37)

Styrene oxide (0.2 g, 1.66 mmol) and catalyst (35) or (37) (0.083 g, 0.5 mmol/g support) were placed in a sample vial fitted with a magnetic stirrer bar and placed in a large conical flask. The conical flask was placed in an oil bath thermostatted at 26° C. Cardice pellets were added to the conical flask which was fitted with a rubber stopper pierced by a deflated balloon. The contents of the reaction vial were stirred for 24 hours during which time the balloon inflated as the cardice pellets evaporated. The reaction was filtered to remove supported catalyst and the solution analysed by GC to determine the conversion of styrene oxide into styrene carbonate.

5	Catalyst	Conversion (%)	
	35 37	86 21	

# Example 10

# (a) Synthesis of Modified MCM-41

(3-Chloropropyl)-triethoxysilane (0.16 mL, 2 mmol), was added to a suspension of activated MCM-41 (2 g) in dry toluene (25 mL) and the mixture refluxed under N<sub>2</sub> for 24

hours. The mixture was then filtered and washed with EtOAc (4×50 mL) to give a white powder (94%).  $\square_{max}$  2681, 1711, 1057 and 807 cm<sup>-1</sup>.

# (b) Synthesis of $[Al(salen)]_2O^+Cl^-$ Supported on Modified MCM-41 (38)

3-Chloropropyl modified MCM-41 (0.52 g) was added to a solution of aluminium complex (22) (1.50 g, 0.45 mmol) in CH<sub>3</sub>CN (40 mL) and the mixture refluxed overnight. Then, the reaction was filtered and washed with EtOAc (6×50 mL) to leave MCM-41 supported complex (38) as a yellow powder (0.86 g, 86%).  $\square_{max}$  3122, 1628, 1057 and 789 cm $^{-1}$ .

# (c) Synthesis of Benzylated [Al(salen)]<sub>2</sub>O<sup>+</sup>Br<sup>-</sup> Supported on Modified MCM-41 (39)

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Tetrabutylammonium bromide (0.20 g, 0.6 mmol) and benzyl bromide (0.13 g, 1 mmol) were added to a suspension of supported aluminium complex (38) (200 mg, 0.15 mmol) in CH<sub>3</sub>CN (30 mL) and the mixture was refluxed overnight. 5 Then, the mixture was washed with EtOAc (4×50 mL) to leave MCM-41 supported complex (39) as a yellow powder (0.22 g, 98%).  $\square_{max}$  3452, 1634, 1052 and 947 cm<sup>-1</sup>. ICPMS (5 mg of supported catalyst digested with 5 mL of 1M HCl) 10 gave an aluminium concentration of 22.55 ppm, corresponding to a catalyst loading of 0.47 mmol per gram of support.

#### Example 11

# Synthesis of Styrene Carbonate Using Supported Catalyst (39)

Styrene oxide (1.66 mmol), catalyst (39) (0.0415 mmol) and propylene carbonate (0.845 g) were placed in a sample vial fitted with a magnetic stirrer bar and placed in a large conical flask. The conical flask was placed in an oil bath 25 thermostatted at 26° C. Cardice pellets were added to the conical flask which was fitted with a rubber stopper pierced by a deflated balloon. The reaction was stirred for 24 hours with samples being removed after 3, 6 and 24 hours for 30 analysis by GC/MS to determine the conversion of epoxide to cyclic carbonate. The results were:

Time (hours)	Conversion (%)	
3	12	
6	23	
24	57	

# Example 12

# Synthesis of Ethylene Carbonate Using Supported Catalyst (39)

Catalyst (39) (0.0503 mmol) and propylene carbonate (1.0 g) were added to a reaction vial to which pre-cooled ethylene oxide (2.01 mmol) was added. The reaction vial was fitted with a magnetic stirrer and placed inside a stainless steel reaction vessel along with sufficient cardice pellets to pressurise the system to approximately 6 atmospheres. The stainless steel reactor was sealed and the reaction left to stir for 24 hours after which the reaction mixture was taken up in ethyl acetate and filtered to remove the catalyst. The ethyl acetate was evaporated under reduced pressure and the weight of the residue determined. The relative composition of propylene and ethylene carbonates was determined by GC/MS and used to calculate that a 93% conversion of ethylene oxide to ethylene carbonate had been achieved.

(a) Synthesis of [Al(salen)]<sub>2</sub>O<sup>+</sup>Cl<sup>-</sup> Supported on solgel (40)

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m is approximately 10

(3-Chloropropyl)-triethoxysilane (0.12 g, 0.5 mmol) was added to a solution of aluminium complex (22) (0.55 g, 0.5 mmol) in CH<sub>3</sub>CN (40 mL) and the reaction was refluxed overnight. Then the solvent was removed to leave a product which was dissolved in EtOH (2 mL). Si(OEt)<sub>4</sub> (1.12 mL, 5 mmol) was added to this solution and the mixture stirred for 2 minutes at room temperature. Then, H<sub>2</sub>O (3 mL) and NH<sub>4</sub>OH (3 drops) were added and the mixture was vigorously stirred for 5 min. The mixture was left undisturbed for 5 days and then placed in an oven at 110° C. for further two days. The resulting solid was washed with isopropanol (2×25 mL) and

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CH<sub>3</sub>CN (2×25 mL) and dried overnight at 110° C. to leave the solgel supported catalyst (40) (0.42 g, 41%).  $\square_{max}$  2914, 1633 and 1067 cm<sup>-1</sup>.

m is approximately 10

# (b) Synthesis of Benzylated [Al(salen)]<sub>2</sub>O<sup>+</sup>Br<sup>-</sup> Supported on solgel (41)

41

Tetrabutylammonium bromide (0.20 g, 0.6 mmol) and bensupported aluminium complex (40) in CH<sub>3</sub>CN (30 mL) and the mixture refluxed overnight. Then, the mixture was washed

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with EtOAc (4×50 mL) to leave solgel supported catalyst (41) as a yellow powder (0.52 g, 96%).  $\square_{max}$  3562, 1629 and 1052 cm<sup>-1</sup>. ICPMS (5 mg of supported catalyst digested with 10 mL of 1M HCl) gave an aluminium concentration of 13.65 ppm, corresponding to a catalyst loading of 0.51 mmol per gram of support.

# Example 14

# Synthesis of Styrene Carbonate Using Supported Catalyst (41)

Styrene oxide (1.66 mmol), catalyst (41) (0.0415 mmol) and propylene carbonate (0.845 g) were placed in a sample vial fitted with a magnetic stirrer bar and placed in a large conical flask. The conical flask was placed in an oil bath thermostatted at 26° C. Cardice pellets were added to the conical flask which was fitted with a rubber stopper pierced <sub>20</sub> by a deflated balloon. The reaction was stirred for 24 hours with samples being removed after 3, 6 and 24 hours for analysis by GC/MS spectroscopy to determine the conversion of epoxide to cyclic carbonate. The results were:

Time (hours)	Conversion (%)	
3	10	
6	20	
24	52	

# Example 15

# Synthesis of Ethylene Carbonate Using Supported Catalysts (29), (35) and (41)

(a) Catalyst (41)(0.0503 mmol) and propylene carbonate (1.0 g) were added to a reaction vial to which pre-cooled ethylene oxide (2.01 mmol) was added. The reaction vial was 40 fitted with a magnetic stirrer and placed inside a stainless steel reaction vessel along with sufficient cardice pellets to pressurise the system to approximately 6 atmospheres. The stainless steel reactor was sealed and the reaction left to stir for 24 hours after which the reaction mixture was taken up in ethyl 45 acetate and filtered to remove the catalyst. The ethyl acetate was evaporated in vacuo and the weight of the residue determined. The relative composition of propylene and ethylene carbonates was determined by GC/MS and used to calculate that a 97% conversion of ethylene oxide to ethylene carbonate 50 had been achieved.

- (b) The above procedure was used but with catalyst (29) and resulted in 95% conversion of ethylene oxide to ethylene carbonate after a reaction time of 24 hours.
- (c) The above procedure was used but with catalyst (35) and resulted in 81% conversion of ethylene oxide to ethylene carbonate after a reaction time of 24 hours.

# Example 16

# Use of Immobilised Catalysts to Convert Ethylene Oxide into Ethylene Carbonate Under Continuous Flow Conditions

The flow reactor is illustrated in FIG. 1. Ethylene oxide was zylbromide (0.13 g, 1 mmol) were added to a suspension of 65 collected from a commercially supplied cylinder as a liquid in a cooled beaker at -78° C. and placed, with a magnetic stirrer bar, inside a 360 mL pre-cooled (-10 to -40° C.) stainless steel pressure vessel (3). The vessel was then sealed. Nitrogen and carbon dioxide gases were supplied from cylinders via mass flow controller units (1) and their respective lines merged to the inlet of the pressure vessel (see diagram). All tubing used in the system was composed of stainless steel with an internal diameter of approximately 1.6 mm. The temperature of the pressure vessel (3) was controlled by a cryostatically cooled bath (2) to provide the required rate of evaporation of ethylene oxide at a particular flow rate of  $N_{2-10}$ and CO2. This was determined by passing the vessel outlet line directly into a GC system (6) fitted with a column capable of separating the three gases. The vessel outlet line was then connected to a stainless steel tubular reactor (4)(15 cm×10 mm) packed with a solid supported catalyst and plugged at both ends with a small volume of cotton. The tubular reactor (4) was either kept at ambient temperature or immersed in a thermostatted water bath. The mixture of CO<sub>2</sub>, ethylene oxide and N<sub>2</sub> was passed through the reactor column at a steady flow rate. The outlet of the reactor (4) was connected to a sealed glass vial (5) via a needle to collect any non-gaseous products. The outlet from the product receptacle passed to a GC system (6) which was used to determine the concentrations of CO<sub>2</sub>,  $N_2$  and ethylene oxide in the effluent gas stream. The results 25obtained with both silica and polystyrene supported catalysts are given in the following table.

wherein:

Y-Q is  $CR^{C1}$ —N, where  $R^{C1}$  is selected from H and optionally substituted  $C_{1-4}$  alkyl;

each of the substituents  $R^1, R^2, R^3, R^5, R^6, R^7, R^9, R^{10}, R^{11}, R^{13}, R^{14}$ , and  $R^{15}$ , is independently selected from H, optionally substituted  $C_{1-7}$  alkyl, L-A and L-A';

$$R^4 = R^8 = R^{12} = R^{16} = H;$$

L is a single bond or an unsubstituted  $C_{1-3}$  alkylene group;

A is an ammonium group paired with a counterion selected from Cl, Br and I;

A' is an ammonium linking group bound to a solid support and paired with a counterion selected from Cl, Br and I;

X<sup>1</sup> and X<sup>2</sup> are independently

(i) a C<sub>2-5</sub> alkylene chain; or

(ii) a divalent group selected from C<sub>5-7</sub> cyclic alkylene; provided that:

at least one of 
$$R^1$$
,  $R^2$ ,  $R^3$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{13}$ ,  $R^{14}$ , and  $R^{15}$  is L-A'.

2. The process according to claim 1, carried out at a temperature of between 0 and  $140^{\circ}$  C. and/or a pressure of between 0.5 and 5 atm.

Run	Catalyst (amount g)	N <sub>2</sub> flow (mL/min)	CO <sub>2</sub> flow (mL/min)	Pressure vessel temperature (° C.)	Ethylene oxide consumption (mL/h)	Time (h)	Reactor temperature (° C.)	Ethylene carbonate yield
1	29 (2.51)	25	25	-40	1.0	19	ambient	0.14 g, 0.15%
2	35 (1.95)	25	25	-40	1.0	19	ambient	$0.08  \mathrm{g}, 0.1\%$
3	35 (1.17)	2.5	2.5	-10	0.21	120	ambient	1.1 g, 1.5%
4	35 (1.17)	2.5	1.0	-10	0.15	96	ambient	1.5 g, 4%
5	35 (3.52)	2.5	1.0	-10	0.15	46	ambient	2.4 g, 21%
6	35 (3.52)	2.5	1.0	-10	0.15	25	50	3.4 g, 53%
7	35 (3.52)	2.5	1.0	-10	0.15	7	60	1.49 g, 80%

What is claimed:

1. A process for the production of cyclic carbonates comprising contacting an epoxide with carbon dioxide in the presence of a dimeric aluminium(salen) catalyst of formula I: 45

3. The process of claim 1, wherein the catalysed reaction is:

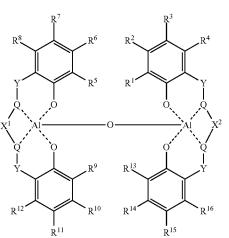
$$\mathbb{R}^{C3}$$
 $\mathbb{I}$ 
 $\mathbb{R}^{C4}$ 
 $\mathbb{R}^{C4}$ 
 $\mathbb{R}^{C4}$ 
 $\mathbb{R}^{C4}$ 

wherein R<sup>C3</sup> and R<sup>C4</sup> are independently selected from H, optionally substituted C<sub>1-10</sub> alkyl, optionally substituted C<sub>3-20</sub> heterocyclyl and optionally substituted C<sub>5-20</sub> aryl, or R<sup>C3</sup> and R<sup>C4</sup> form an optionally substituted linking group between the two carbon atoms to which they are respectively attached.

(I) 50

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- **4**. The process according to claim **3**, wherein  $R^{C4}$  is H.
- 5. The process according to claim 3, wherein  $R^{C3}$  is selected from optionally substituted  $C_{1-4}$  alkyl and optionally substituted  $C_{5-7}$  aryl.
- ${f 6}$ . The process according to claim  ${f 1}$ , carried out in a flow reactor or a gas flow reactor.
  - 7. The process according to claim 1, carried out under continuous flow conditions.



- 8. The process according to claim 1, carried out under solvent-free conditions or using propylene carbonate as a solvent, and/or wherein one of  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^9$ ,  $R^{10}$ , R<sup>11</sup>, R<sup>13</sup>, R<sup>14</sup>, and R<sup>15</sup> is selected from L-A' and A' is selected from  $-NH(CH_3)(CH_2)-$ ,  $-NH(CH(CH_3)_2)(C(CH_3)_2)-$ , 5 —N(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)—, —N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>CH<sub>2</sub>—,  $-N(CH_2CH_3)_2(CH_2CH_2) -N(CH_2CH_3)_2(CH_2CH_2CH_2)$ and  $-NHPh(CH_2)$ —.
- 9. The process according to claim 1, wherein L is an unsubstituted  $C_{1-3}$  alkylene group.
- 10. The process according to claim 1, wherein  $X^1$  and  $X^2$ are independently selected from  $-(CH_2)_n$ —and n is 2 or 3

wherein X<sup>1</sup> and X<sup>2</sup> independently represent C<sub>6</sub> cyclic alky-

wherein X1 and X2 independently represent a divalent group selected from C<sub>5-7</sub> cyclic alkylene and is unsub-

or 20

or

- wherein  $X^1$  and  $X^2$  are both — $C_2H_4$ —. 11. The process according to claim 1, wherein the ammonium counter group is Br-.
- 12. The process according to claim 1, wherein the ammonium group A is chosen from -N(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub> and 25 -N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>Ph) or wherein the solid support is optionally a silica support.
- 13. The process according to claim 1, wherein  $X^1 = X^2 = C_2$  alkylene or  $C_6$  cyclic alkylene.
- 14. The process according to claim 1, wherein  $C_{1-7}$  alkyl is 30 butyl.
  - 15. The process according to claim 1, wherein  $R^{C1}$  is H.

\* \* \* \* \*

# UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO. : 9,273,024 B2 Page 1 of 2

APPLICATION NO. : 13/887787

DATED : March 1, 2016

INVENTOR(S) : Michael North

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification

Column 21, In line 12, after "step." no new paragraph

Column 21, In line 21, after ".4795" replace "." with --,--

Column 22, In line 65, after "(30)." no new paragraph

Signed and Sealed this Second Day of August, 2016

Michelle K. Lee

Michelle K. Lee

Director of the United States Patent and Trademark Office

Column 29, lines 32 to 54, should read:

$$\operatorname{Br}^{-}$$
  $\operatorname{Br}^{-}$   $\operatorname{N}^{+}(\operatorname{Bn})\operatorname{Et}_{2}$   $\operatorname{N}^{+}(\operatorname{Bn})\operatorname{Et}_{2}$   $\operatorname{N}^{+}(\operatorname{Bn})\operatorname{Et}_{2}$   $\operatorname{N}^{+}(\operatorname{Bn})\operatorname{Et}_{2}$   $\operatorname{Br}^{-}$   $\operatorname{Br}^{-}$   $\operatorname{Br}^{-}$ 

25: 
$$X^2 = (CH_2)_4$$

26: 
$$X^2 = H$$